#### Sistemi Intelligenti Avanzati Corso di Laurea in Informatica, A.A. 2023-2024 Università degli Studi di Milano



# Search algorithms for planning

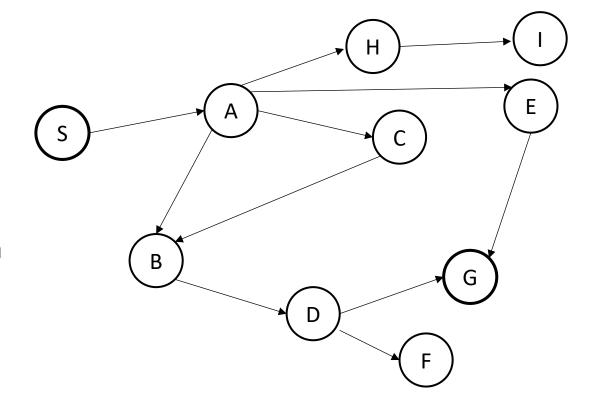
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#### Search

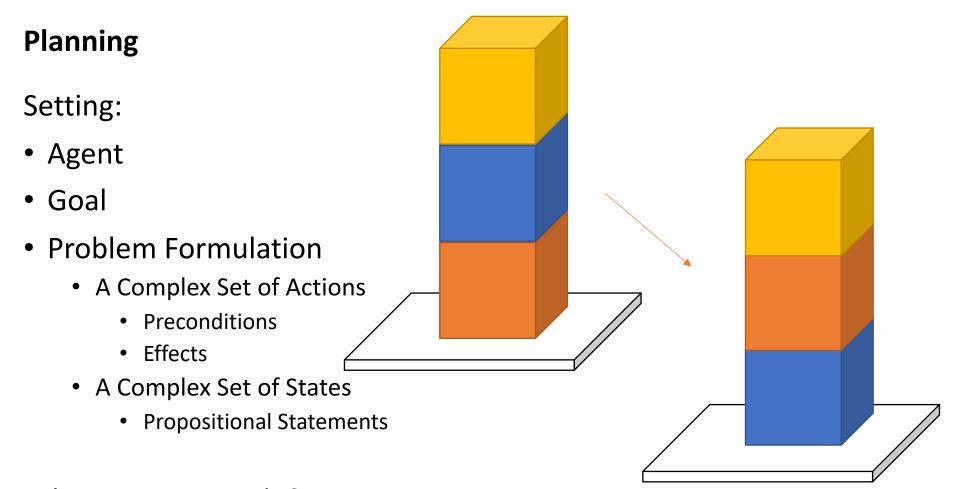
## Setting:

- Agent
- Goal
- Problem Formulation
  - A Set of Actions
  - A Set of States



What we want to do?

Find a set of actions that achieve the goal when no single action will do



What we want to do?

Take advantage of the structure of a problem to construct complex plans of actions

## **Search algorithms for Planning**

- Search and Planning often addresses similar problems and there is no clear distinction between them.
- On one hand, planning deals with problems where actions, states, goals cannot be described in a compact way, to have an abstract and high-level problem formulation.
- As an example, if the conditions can change planning methods are more suited to adapt the plan.
- On the other hand, search algorithms are often used when it is easier to describe the problem in a "mathematical" and compact way.
- Overall, search and planning are deeply connected and overlapped, and planning often requires some form of search and problemsolving algorithms.
- Path-planning is one of those problem.

#### **Discrete Search Problems: 8-Puzzle**

7	2	4
5		6
8	3	1
Start State		

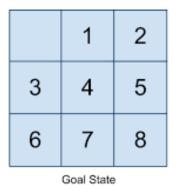
	1	2
3	4	5
6	7	8
Goal State		



- States: location of each digits in the eight tiles + blank one
- Initial State
- Goal State
- Actions: Left, Right, Up, Down
- Transition: given a state and an action, the resulting board

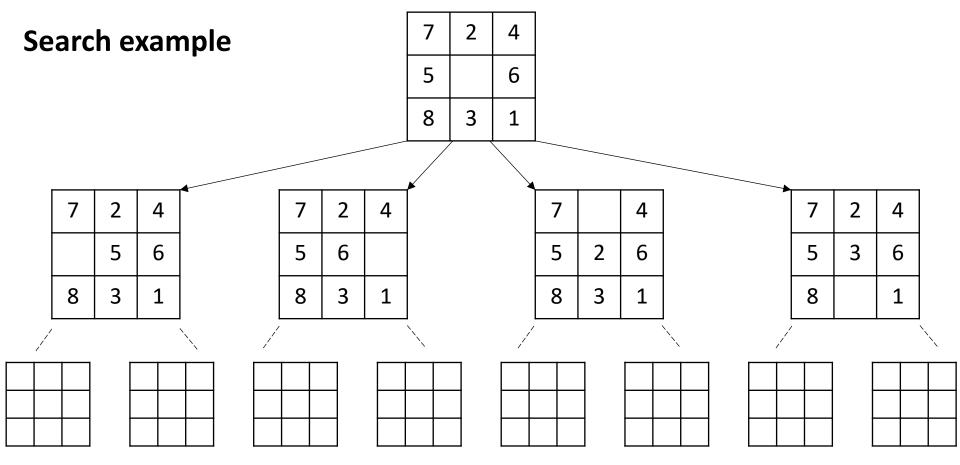
#### **Discrete Search Problems: 8-Puzzle**

7	2	4
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Start State		





- States: location of each digits in the eight tiles + blank one
- Initial State
- Goal State
- Actions: Left, Right, Up, Down
- Transition: given a state and an action, the resulting board
- Goal Test: if the states are equal to the goal state
- Cost: each movement costs 1, the lowest number of tile move the lowest the cost

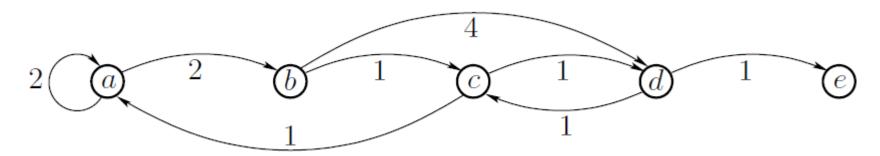


Expanding the current state by applying a legal action generating a new set of states, then...

...following up one option and putting aside others in case the first choice does not lead to a solution

#### **State-based problem formulation**

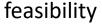
- State space defined as a set of nodes, each node represents a state;
   we assume a finite state space (and discrete)
- For each state, we have set of actions that can be undertaken by the agent from that state
- Transition model: given a starting state and an action, indicates an arrival state;
   we assume no uncertainties, i.e., deterministic transitions and full observability
- Action costs: any transition has a cost, which we assume to be greater than a
  positive constant (reasonable assumption, useful for deriving some properties of
  the algorithms we discuss)
- Initial state
- Goal State

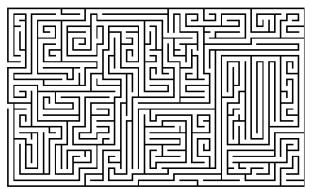


Compact representation: state transition graph G=(V,E) (We will use "state" and "node" as interchangeable terms)

#### Formally describing the desired solution

- In the problem formulation we need to formally describe the features of the solution we seek
- Two (three) classes of problems:



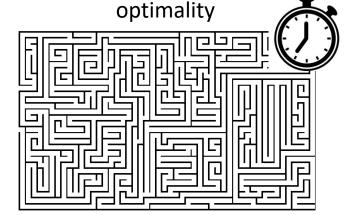




is there a path to an exit?

(approximation)

Set of goal states, find any sequence of actions (path) from the initial state to a goal state





If at least a path to an exit exists, what is the one with the minimum number of turns?

Set of goal states, find the sequence of actions (path) from the initial state to a goal state that has the minimum cost

#### **Problem example**

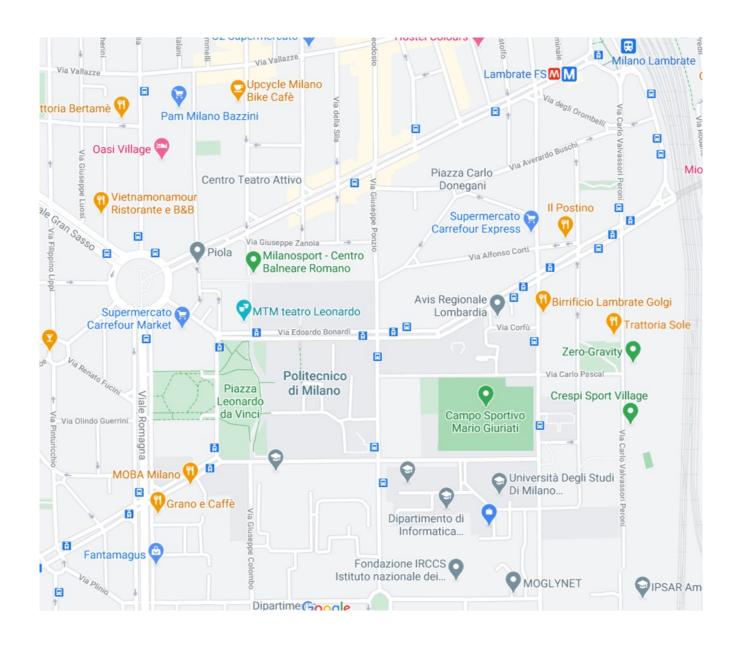
Consider a agent moving on a graph-represented environment:

- States: nodes of the graph, they represent physical locations
- Edges: represent connections between nearby locations or, equivalently, movement actions
- Initial state: some starting location for the agent

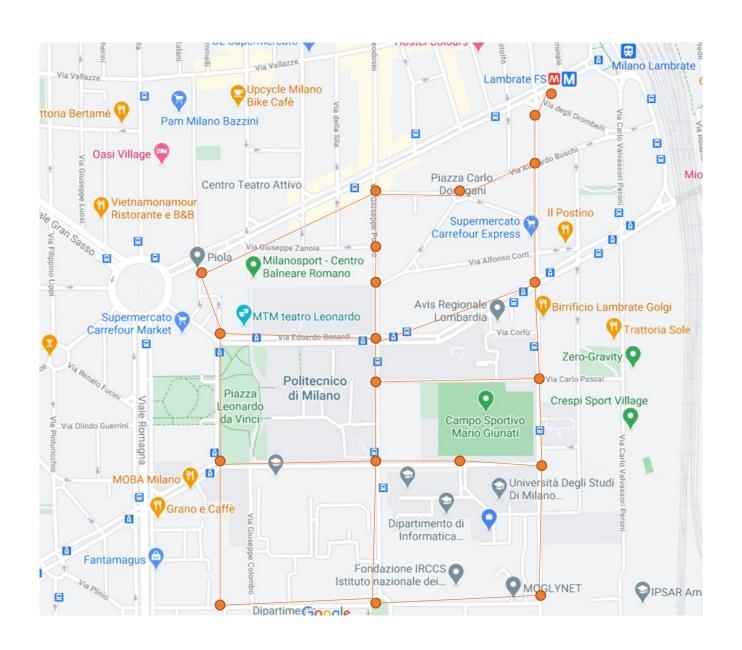
#### **Desired solution:**

• **Goal state(s)**: some location(s) to reach, ... Find a path to the initial location to a goal one

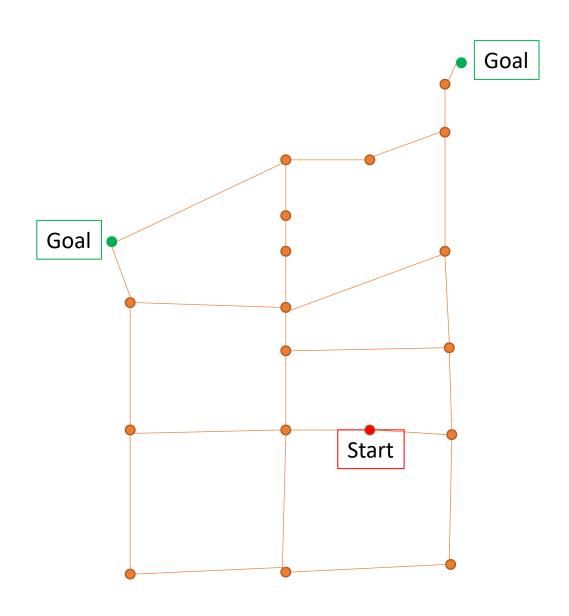
#### **Example: going home from the Celoria 18 with METRO**



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## **Example: going home from Celoria 18 with METRO**



#### **Problem example**

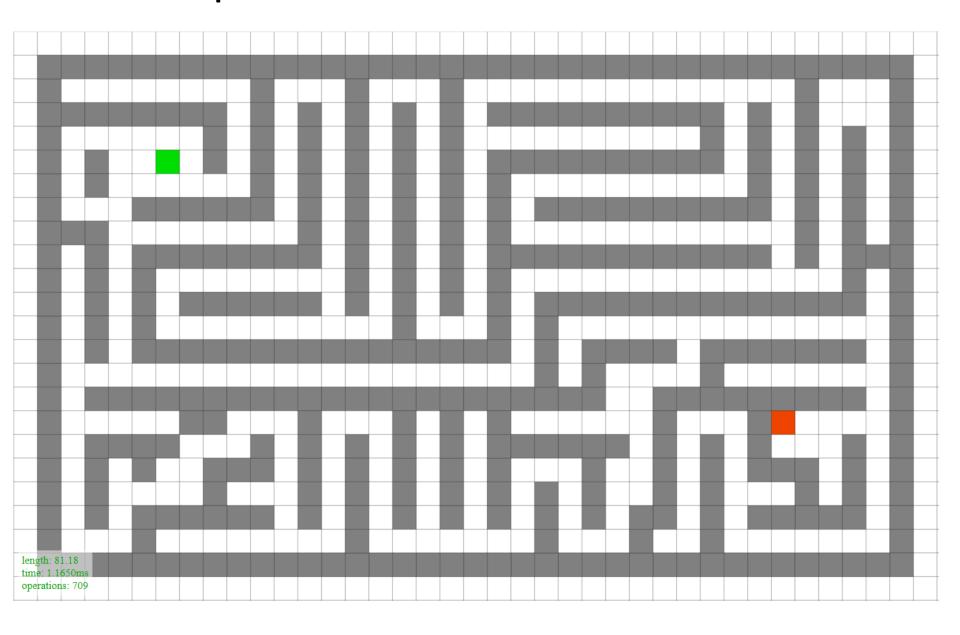
Consider a mobile robot moving on a grid environment:

- **States**: cells in the map, they represent physical locations
- **Edges**: represent connections between nearby locations or, equivalently, movement actions
- Initial state: some starting location for the robot

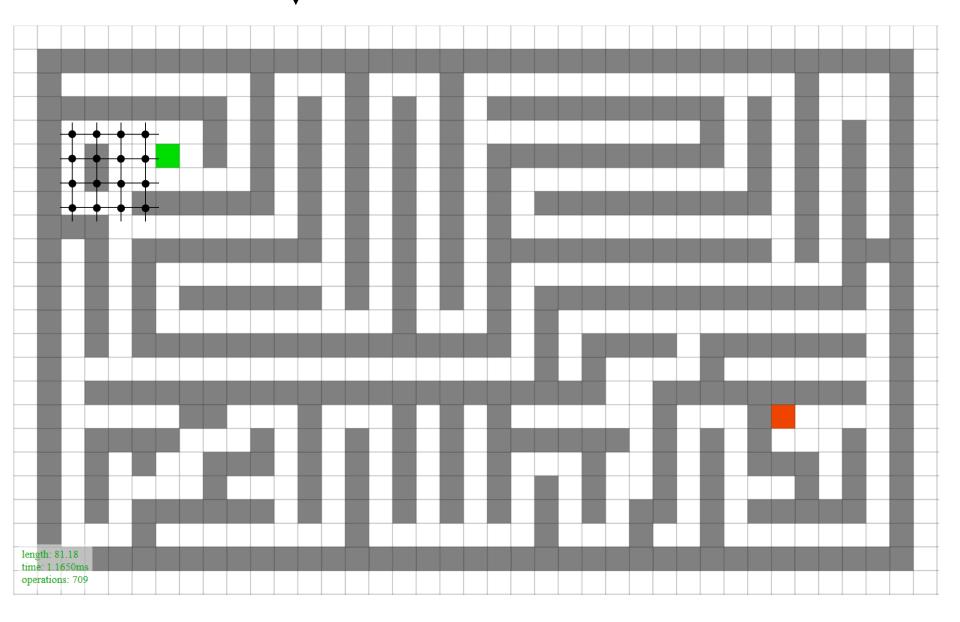
**Desired solution:** 

- **Goal state(s)**: some location(s) to reach
- Find a path to the initial location to a goal one

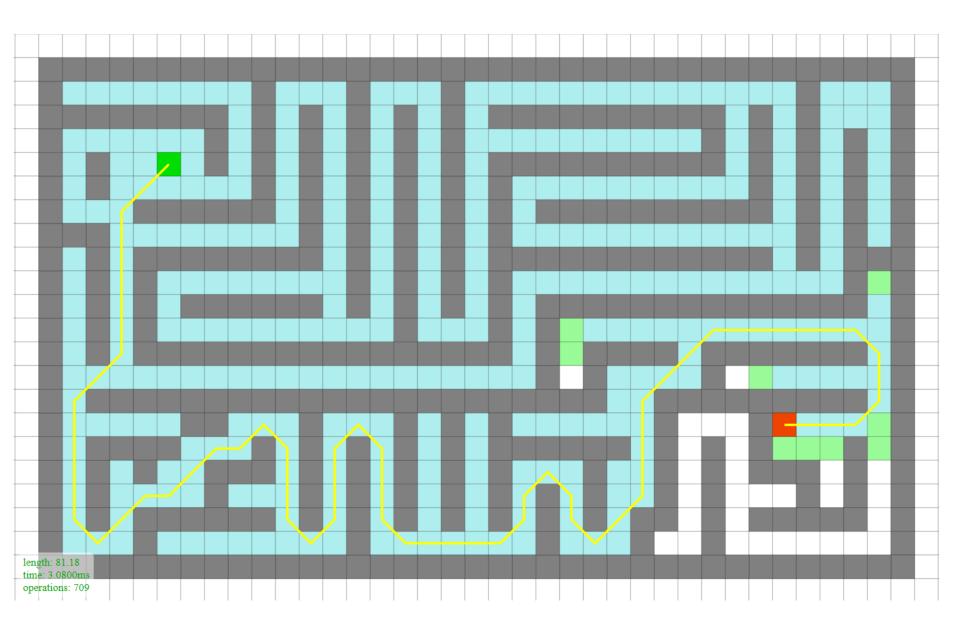
# **Problem Example**



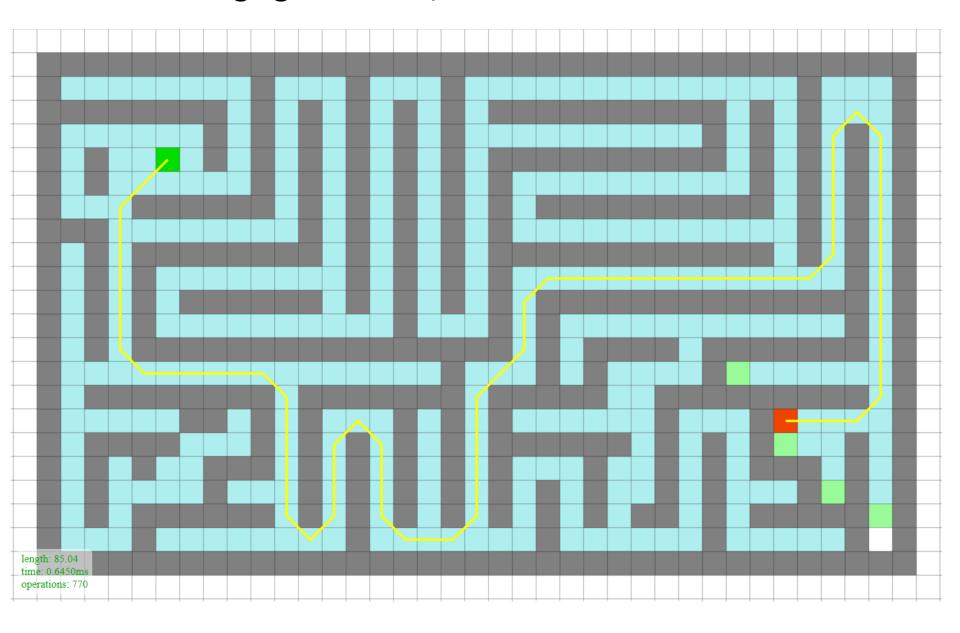
# Problem Example <



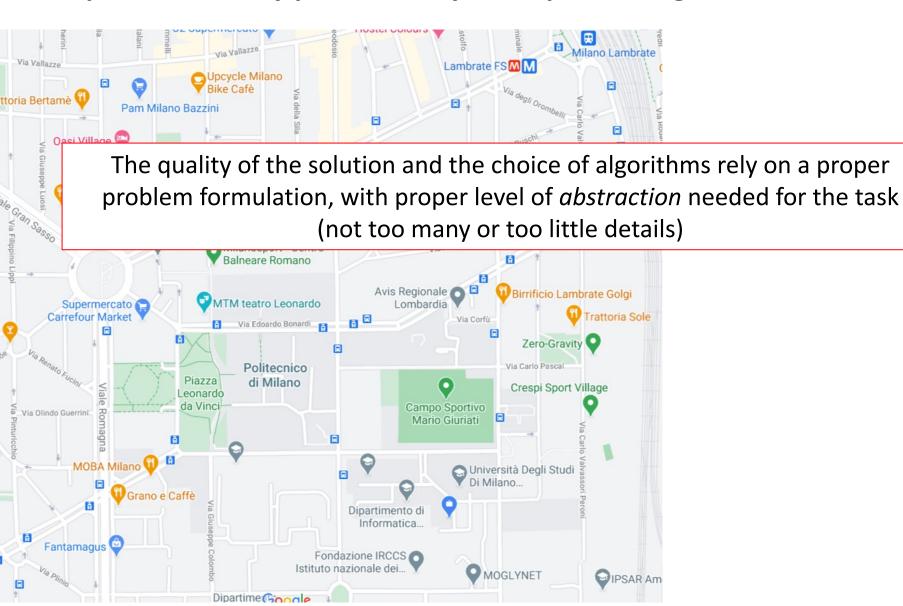
# **A** solution



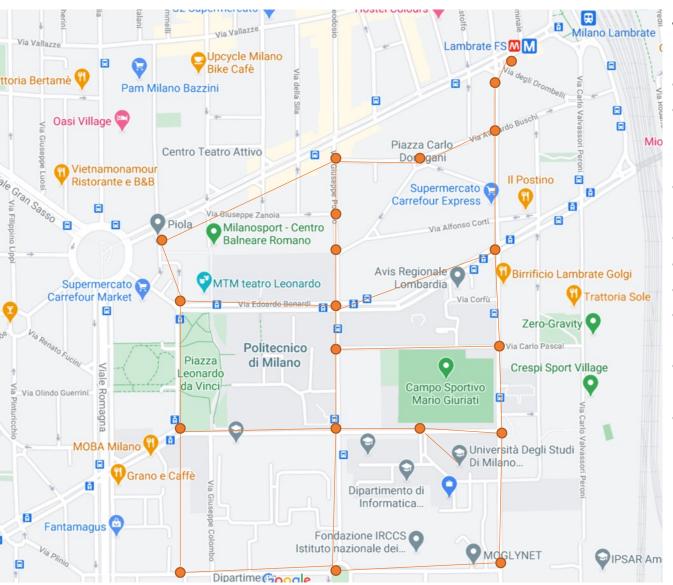
# And here? Changing a few tiles, different solution



### One problem, many possible ways of representing it



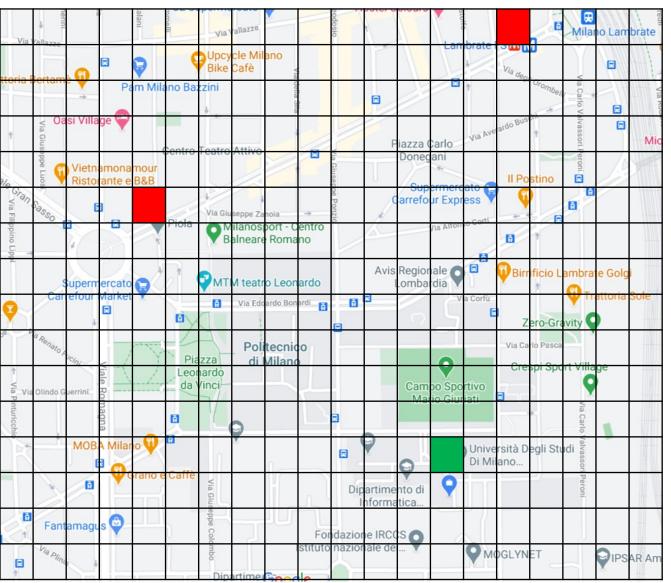
## One problem, many possible ways of representing it



What type of representation?

- With which granularity?
- Shall I represent other nearby stations (Loreto, Udine?)
- Shall I represent also the bus stops?
- Trams?
- Main central stations?
- All Milan city map?
- Shall I represent all crossings and traffic lights?
- How about directions inside the campus?
- How about directions inside the building?

### One problem, many possible ways of representing it



What type of representation?

- Grid map?
- How big the grid?
- Which distance?
  - Euclidean
  - Manhattan
  - ?
- Shall I represent all crossings and traffic lights?
- How about directions inside the campus? (shall I use a different grid size?)
- How about directions inside the building?

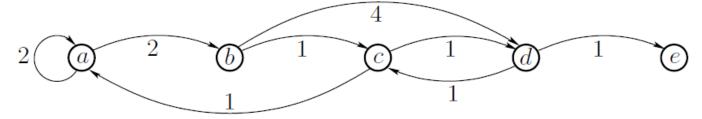
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#### **Problem specification**

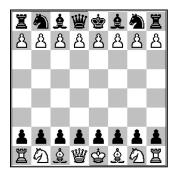
How to specify a planning problem?

• First approach: provide the full state transition graph G (as in the previous

example)



 Most of the times this is not an affordable option due to the combinatorial nature of the state space:



- Chess board: approx. 10<sup>47</sup> states
- We can specify the initial state and the transition function in some compact form (e.g., set of rules to generate next states)
- The planning problem "unfolds" as search progresses
- We need an efficient procedure for goal checking

#### General features of search algorithms

A search algorithm explores the state-transition graph G until it discovers the desired solution

• feasibility: when a goal node is visited the path that led to that node is returned

 optimality: when a goal node is visited, if any other possible path to that node has higher cost the path that led to that node is returned

Given a state and the path followed to get there, the next node to explore is chosen using a *search strategy* 

It does not suffice to visit a goal node, the algorithm has to reconstruct the path it followed to get there: it must keep a trace of its search



"HIS PATH-PLANNING MAY BE

Such a trace can be mapped to a subgraph of G, it is called *search graph* 

## how to evaluate a (search) algorithm?

We can evaluate a search algorithm along different dimensions

#### Completeness:

If there is a solution, is the algorithm guaranteed to find it?

- Systematic:
   If the state space is finite, will the algorithm visit all reachable state (so finding a solution if a solution exists?)
- Optimality: does the strategy find an optimal solution?
- Space complexity:
   How much memory is needed to find a solution?
- Time complexity:
   How long does it takes?

(The above criteria are used to evaluate a broader class of algorithms)

#### **Soundness**

Optimality: does the returned solution lead to a goal with minimum cost?

Maybe we are not always looking for the optimal solution...

...for some problems, we may look for other features

Soundness: If the algorithm returns a solution, is it compliant with the desired features specified in the problem formulation?

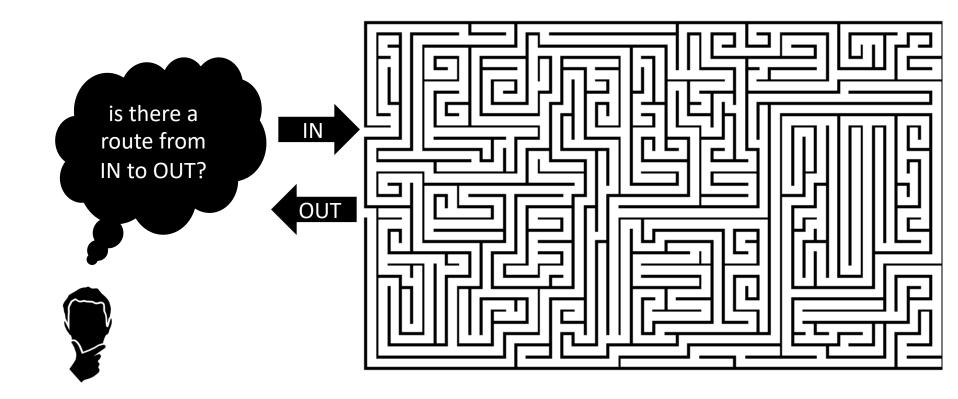
- Example:
  - Feasibility: does the returned solution lead to a goal?
  - Optimality: does the returned solution lead to a goal with minimum cost?

(We may need other features from the algorithm e.g., approximation)

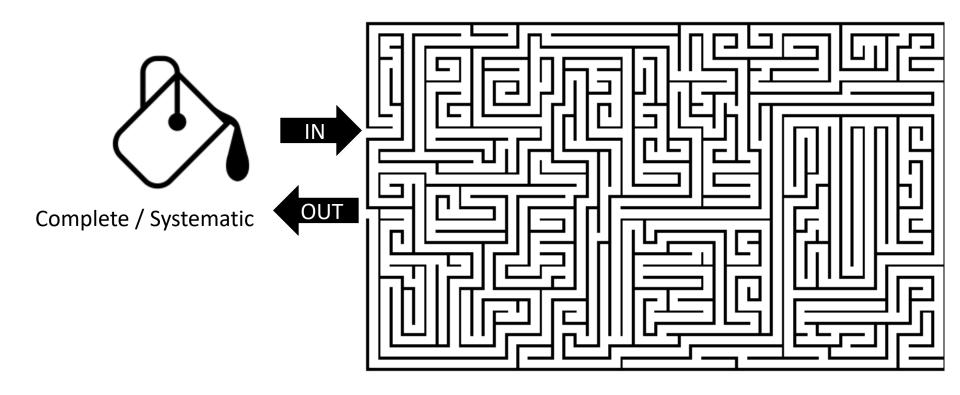
#### Completeness and the systematic property

- If a solution exists, does the algorithm find it?
- Typically shown by proving that the search will/will not visit all states if given enough time → systematic
- If the state-space is finite, ensuring that no redundant exploration occurs is sufficient to make the search systematic.
- If the state space is infinite, we can ask if the search is systematic:
  - If there is a solution, the search algorithm must report it in finite time
  - if the answer is no solution, it's ok if it does not terminate but ...
  - ... all reachable states must be visited in the limit: as time goes to infinity, all states are visited – all reachable vertex is explored - (this definition is sound under the assumption of countable state space)

## Visual example

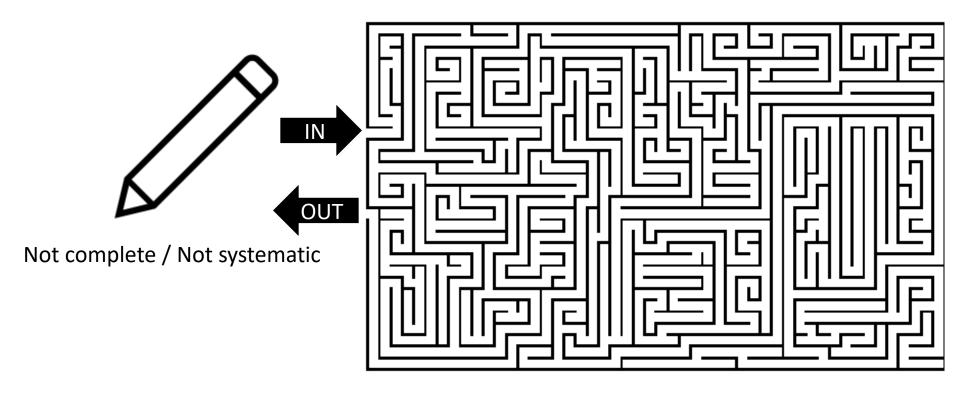


## Visual example



 Searching along multiple trajectories (either concurrently or not), eventually covers all the reachable space

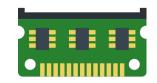
## Visual example



Searching along a single trajectory, eventually gets stuck in a dead end (or find a solution
if we are lucky)

#### Space and time complexity

 Space complexity: how does the amount of memory required by the search algorithm grows as a function of the problem's dimension (worst case)?



 Time complexity: how does the time required by the search algorithm grows as a function of the problem's dimension (worst case)?



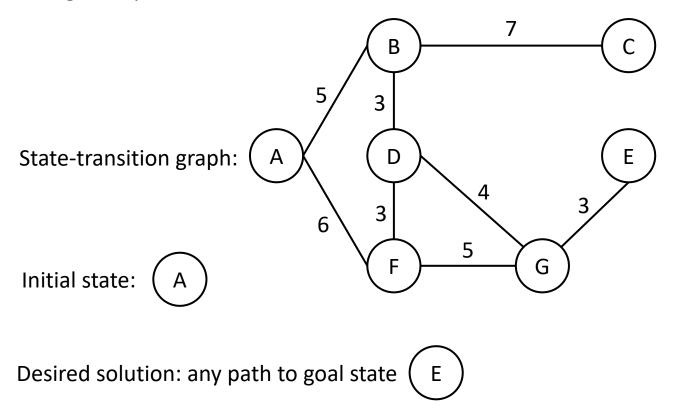
- Asymptotic trend:
  - We measure complexity with a function f(n) of the input size
  - For analysis purposes, the "Big O" notation is convenient:

A function f(n) is O(g(n)) if  $\exists k > 0, n_0$  such that  $f(n) \leq kg(n)$  for  $n > n_0$ 

- An algorithm that is  $O(n^2)$  is better than one that is  $O(n^5)$
- If g(n) is an exponential, the algorithm is not efficient

## **Running example**

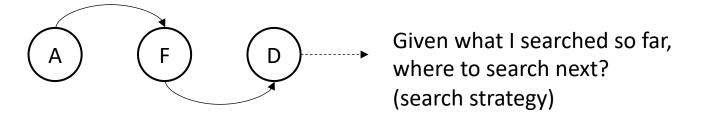
 To present the various search algorithms, we will use this problem instance as our running example



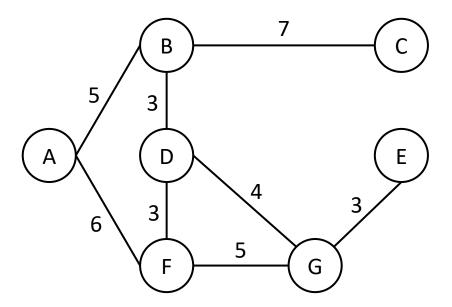
• It might be useful to think it as a map, but keep in mind that this interpretation does not hold for every instance

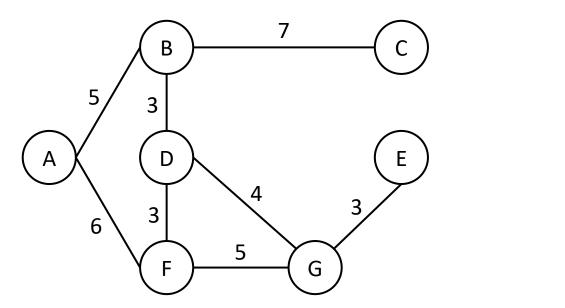
#### Search algorithm definition

 The different search algorithms are substantially characterized by the answer they provide to the following question:

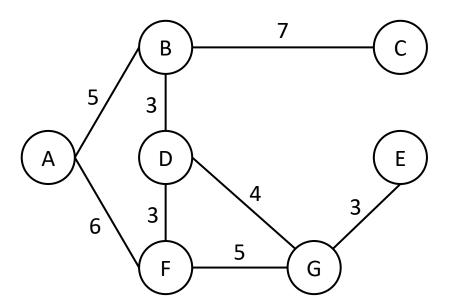


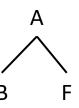
 The answer is encoded in a set of rules that drives the search and define its type, let's start with the simplest one

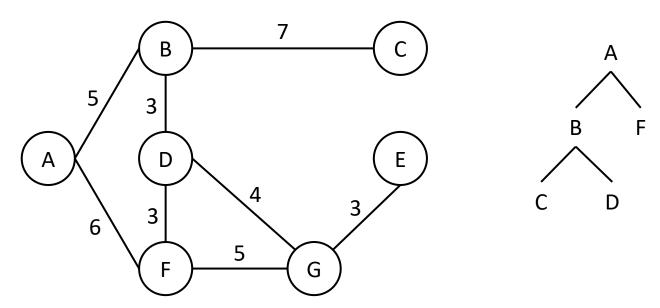


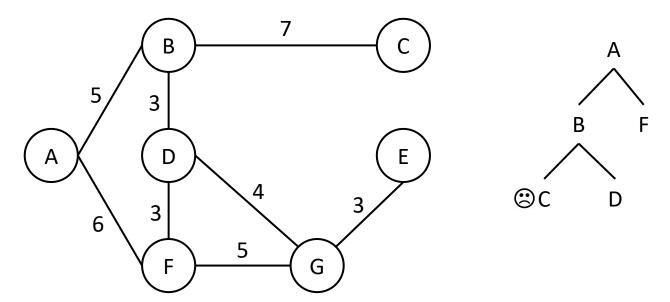


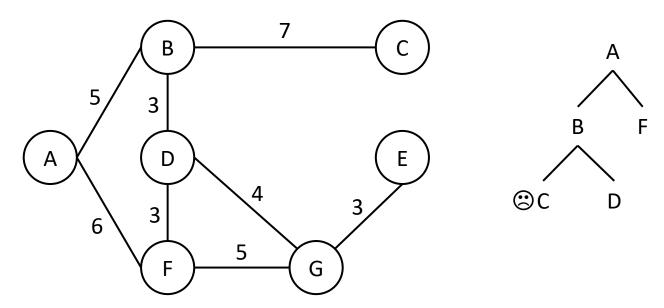
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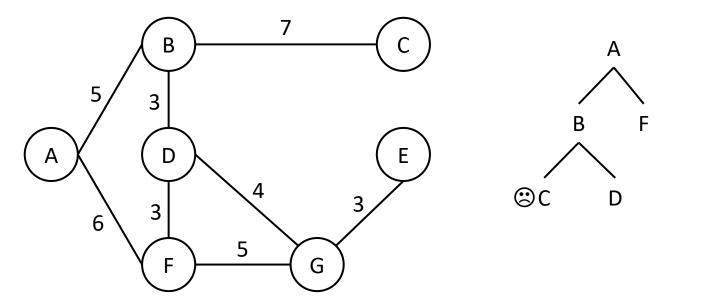






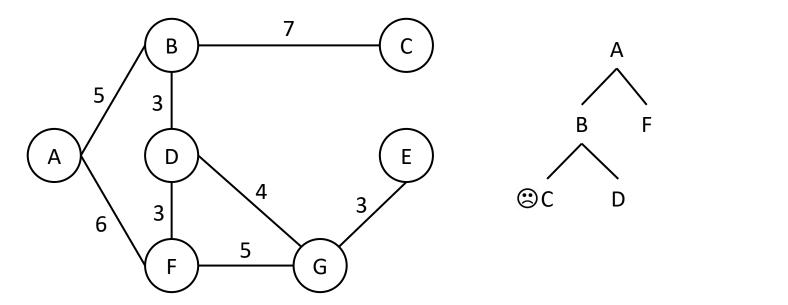


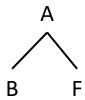
- A Depth-First Search (DFS) chooses the deepest node in the search tree (How to break ties? For now, lexicographic order)
- A dead end stopped the search, DFS seems not complete. Can we fix this?
- Let's endow our DFS with backtracking: a way to reconsider previously evaluated decisions



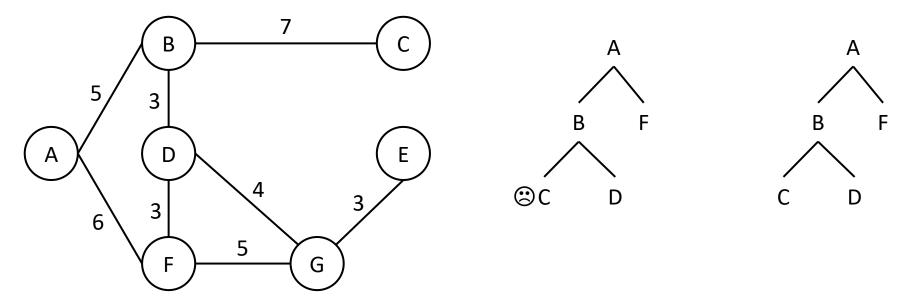
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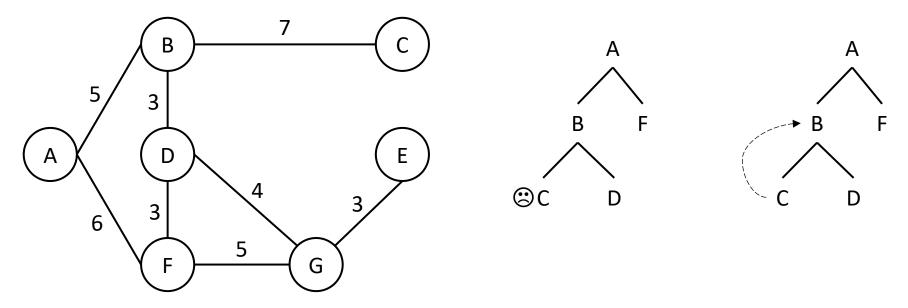




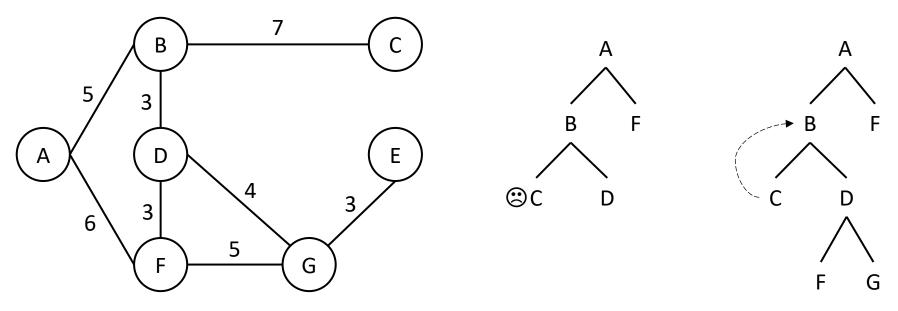
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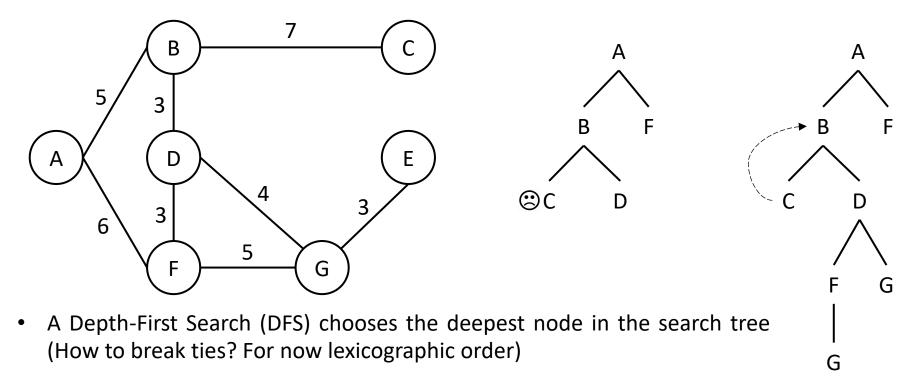
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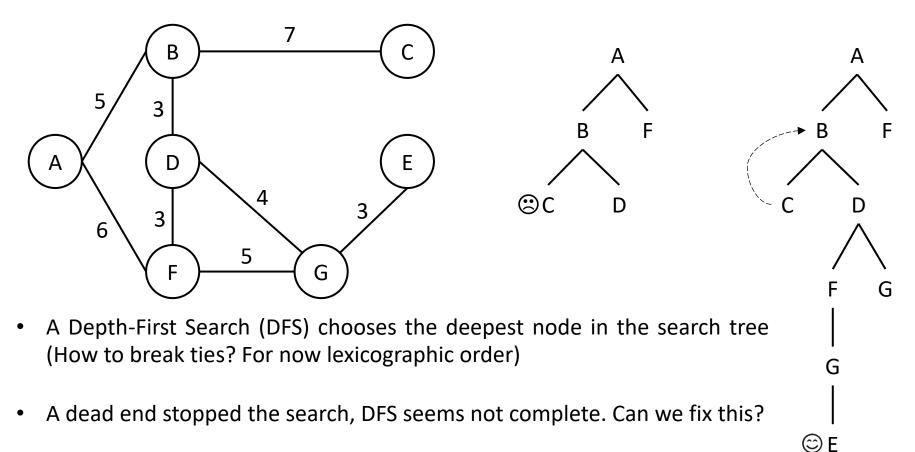
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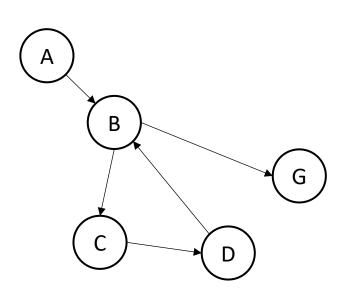


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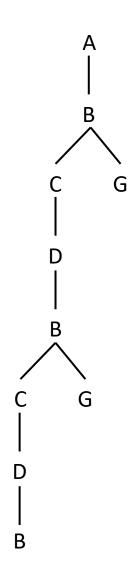


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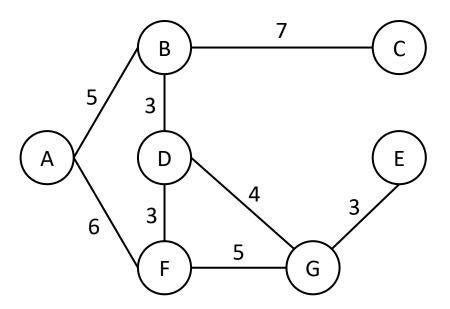
### **Depth-First Search (DFS) and Loops**

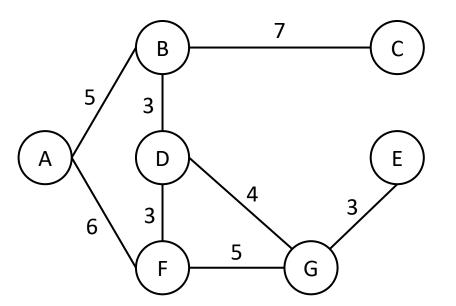


- DFS with loops -> non systematic / complete
- We want to **avoid loops** on the same branch (loops are redundant paths)

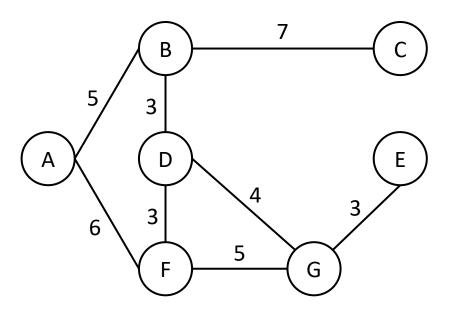


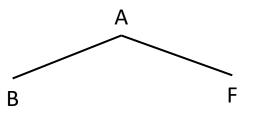
- DFS with loops removal and BT is sound and complete (for finite spaces)
- Call b the maximum branching factor, i.e., the maximum number of actions available in a state
- Call d the maximum depth of a solution, i.e., the maximum number of actions in a path
- Space complexity: O(d)
- Time complexity:  $1 + b + b^2 + \ldots + b^d = O(b^d)$

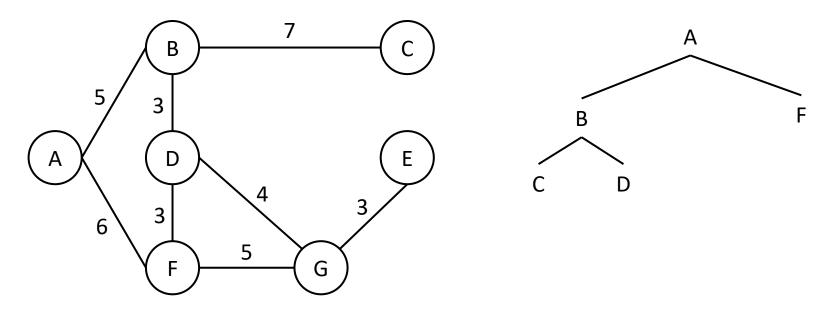


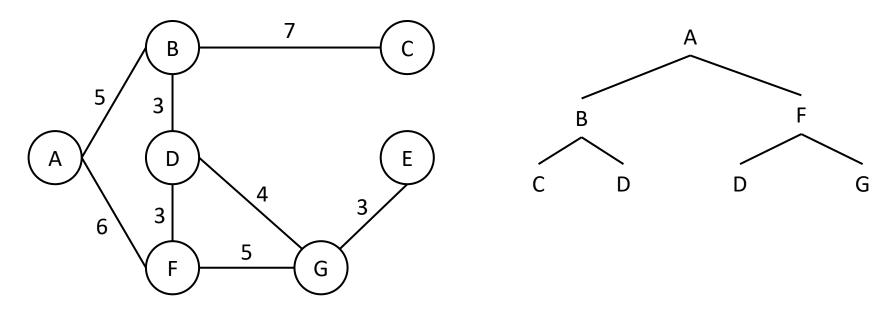


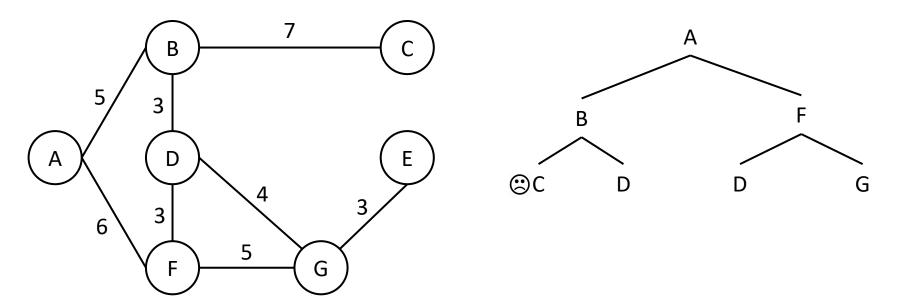
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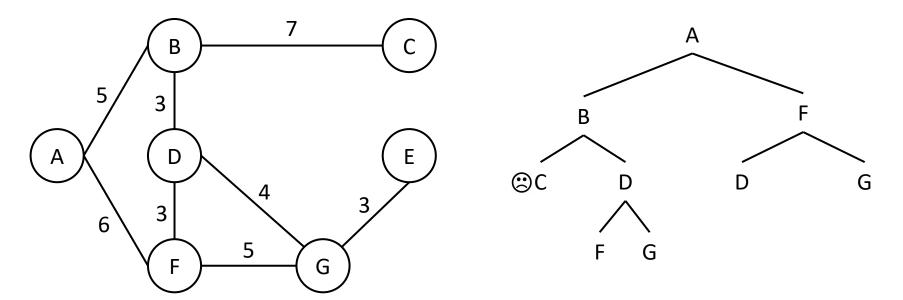


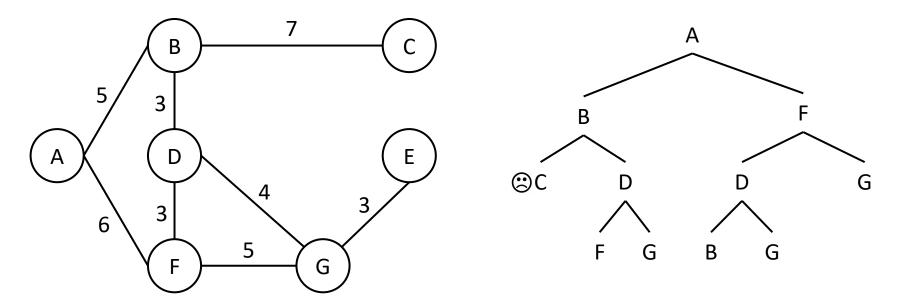


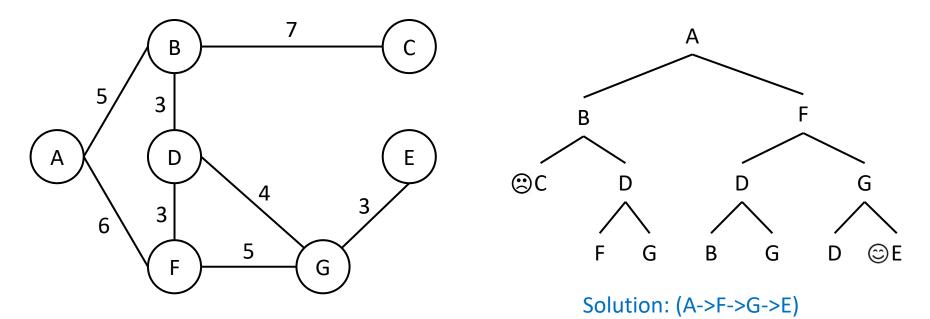


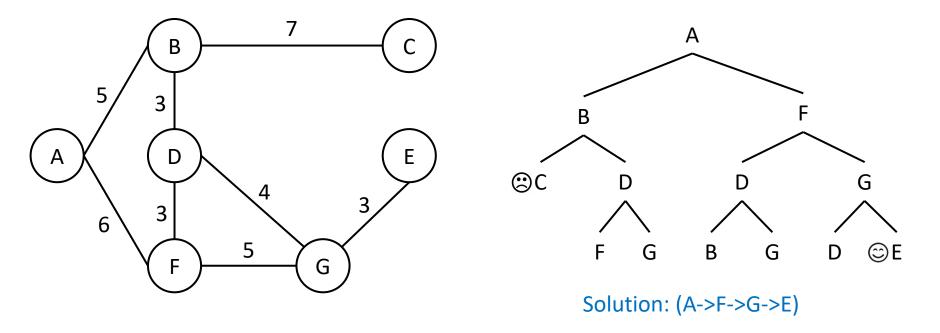










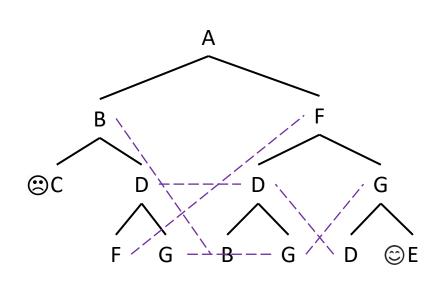


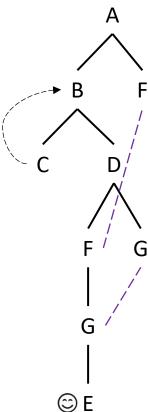
- A Breadth-First Search (BFS) chooses the shallowest node, thus exploring in a levelby-level fashion
- It has a more conservative behavior and does not need to reconsider decisions
- Call q the depth of the shallowest solution (in general  $q \leq d$  )
- Space complexity:  $O(b^q)$
- Time complexity:  $O(b^q)$

#### **Redundant paths**

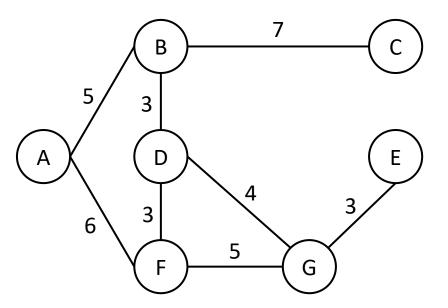
 Both DFS and BFS visited some nodes multiple times (avoiding loops prevents this to happen only within the same branch)

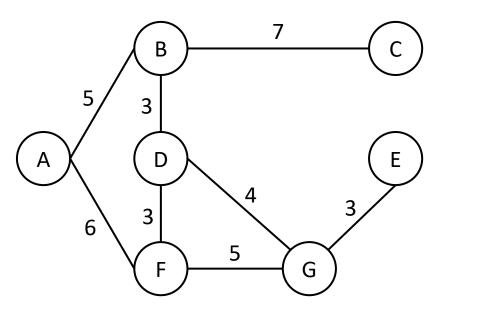
In general, this does not seem very efficient. Why?



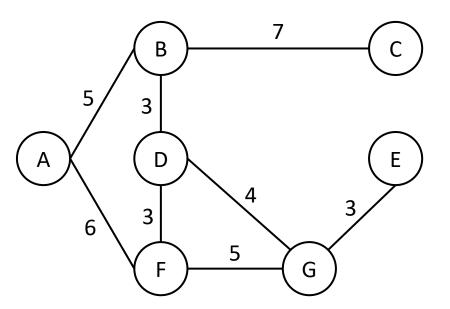


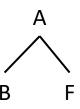
 Idea: discard a newly generated node if already present somewhere on the tree, we can do this with an enqueued list

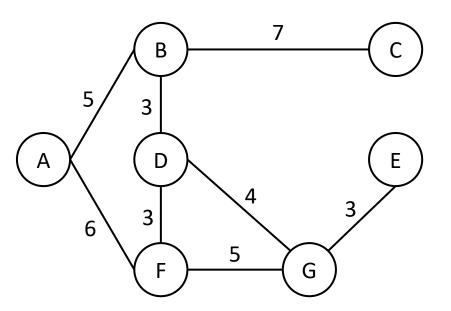


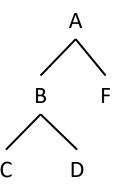


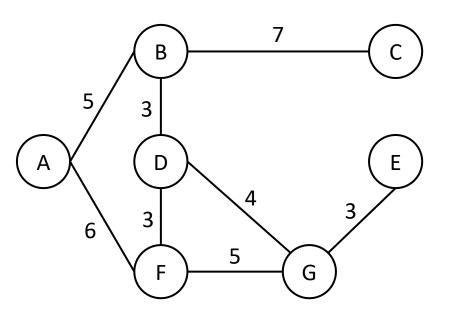
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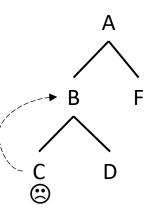


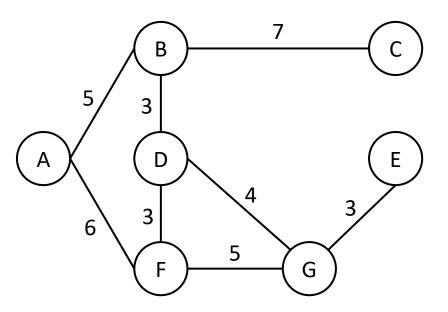




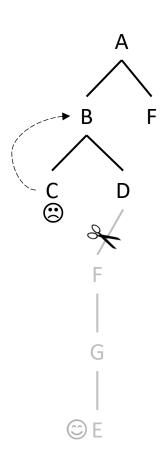


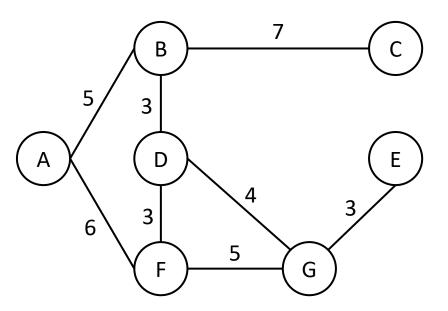




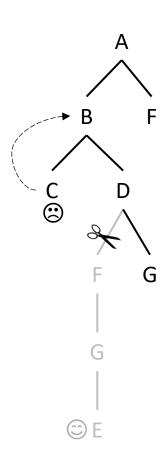


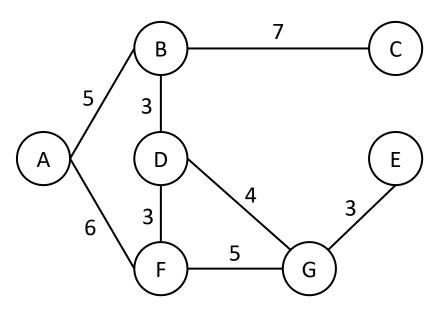
 Node F ha already been "enqueued" on the tree, by discarding it we prune a branch of the tree



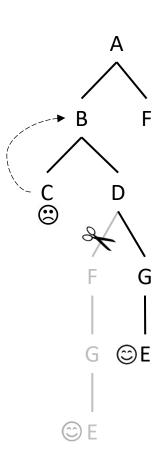


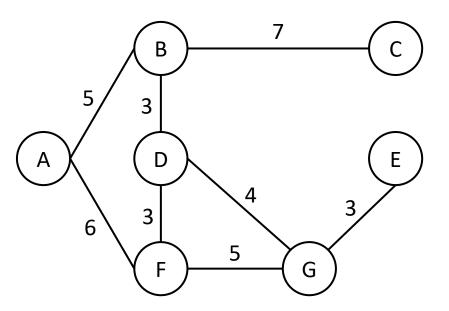
 Node F ha already been "enqueued" on the tree, by discarding it we prune a branch of the tree

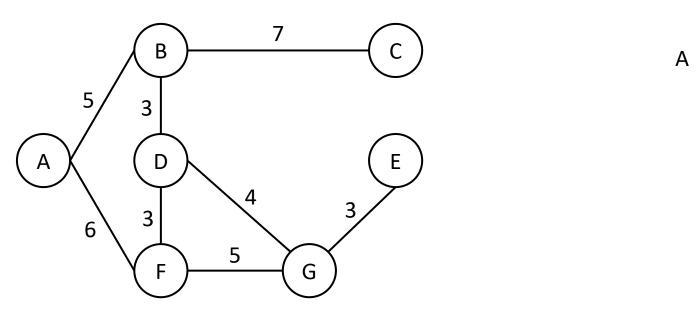


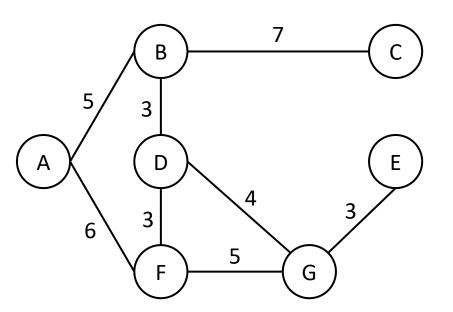


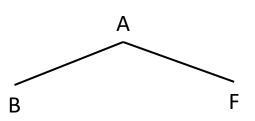
 Node F ha already been "enqueued" on the tree, by discarding it we prune a branch of the tree

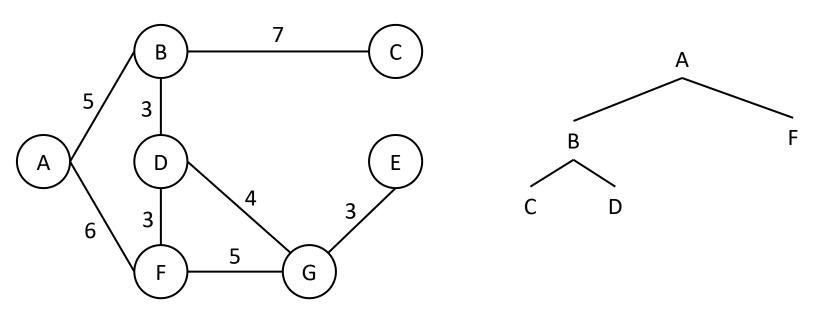


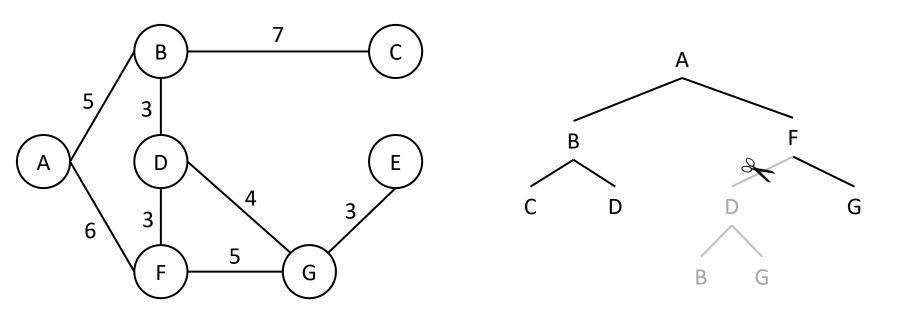


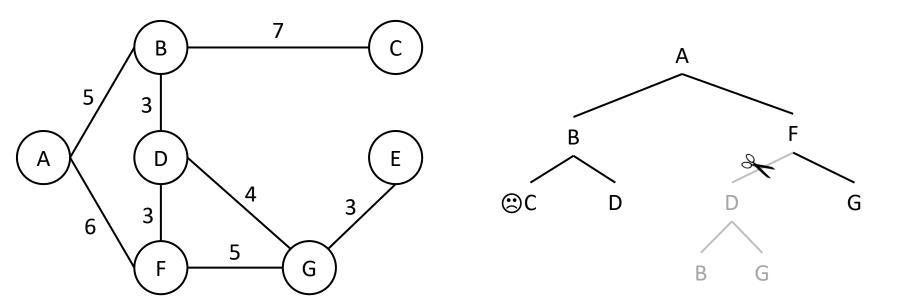




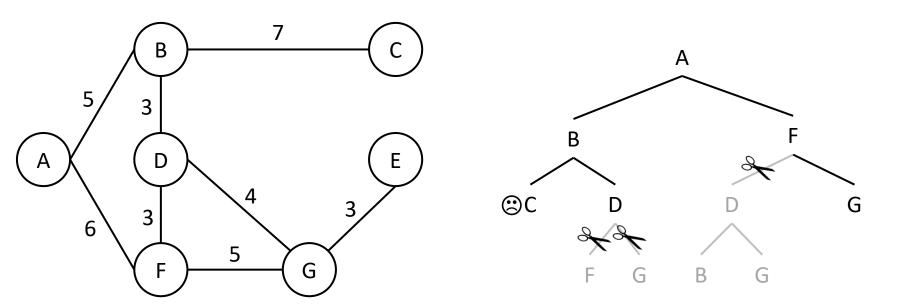




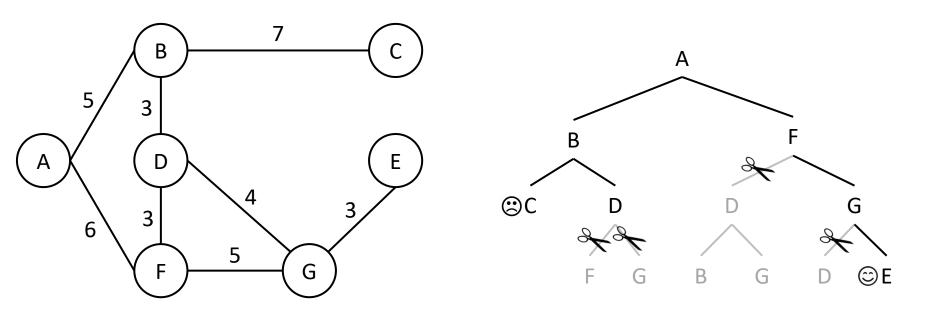




# **BFS with Enqueued List**

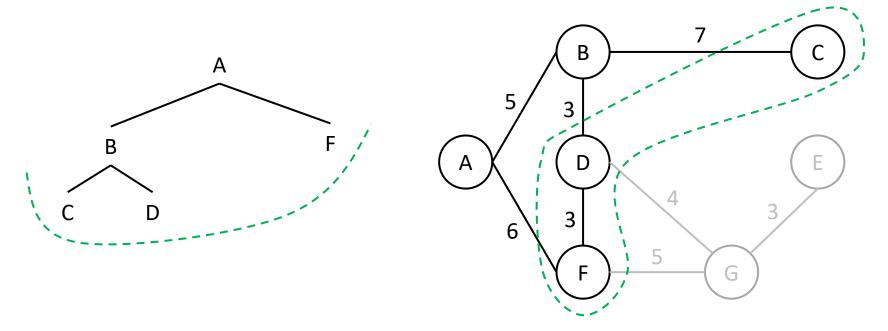


# **BFS with Enqueued List**



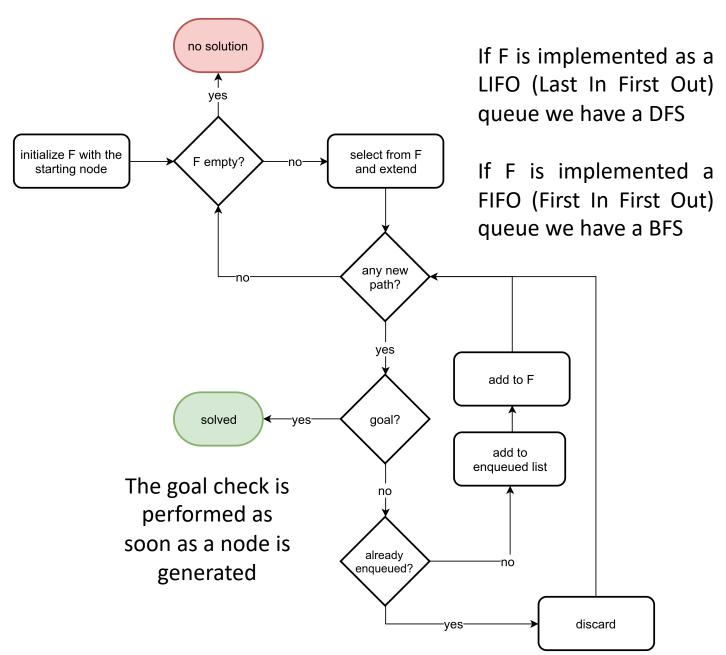
#### **Implementation**

- The implementation of the previous algorithms is based on two data structures:
  - A queue F (Frontier), elements ordered by priority, a selection consumes the element with highest priority
  - A list **EL** (Enqueued List, nodes that have already been put on the tree)
- The frontier F contains the terminal nodes of all the paths currently under exploration on the tree



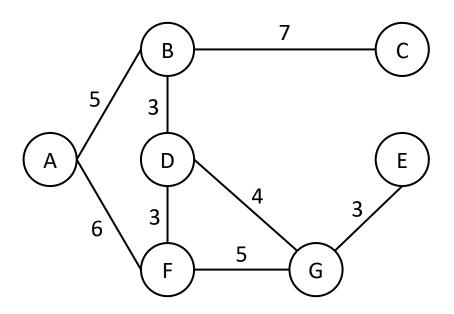
- The frontier **separates** the explored part of the state space from the unexplored part
- In order to reach a new unexplored state, we need to pass from the frontier (separation property)

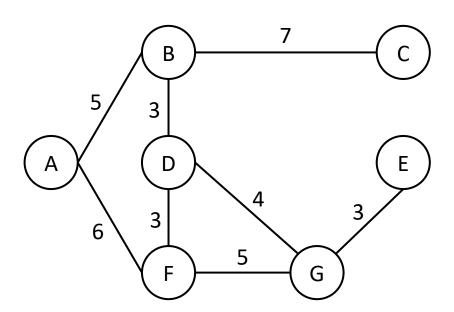
#### **Implementation**



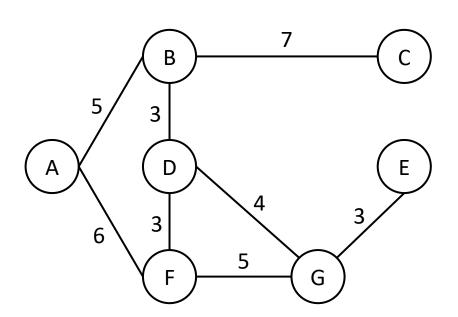
#### Search for the optimal solution

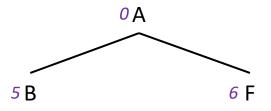
- Now we assume to be interested in the solution with minimum cost (not just any path to the goal, but the cheapest possible)
- To devise an optimal search algorithm we take the moves from BFS. Why it seems reasonable to do that?
- We generalize the idea of BFS to that of Uniform Cost Search (UCS)
- BFS proceeds by depth levels, UCS does that by cost levels (as a consequence, if costs are all equal to some constant BFS and UCS coincide)
- Cost accumulated on a path from the start node to v: g(v) (we should include a dependency on the path, but it will always be clear from the context)
- For now let's remove the enqueued list and the goal checking as we know it

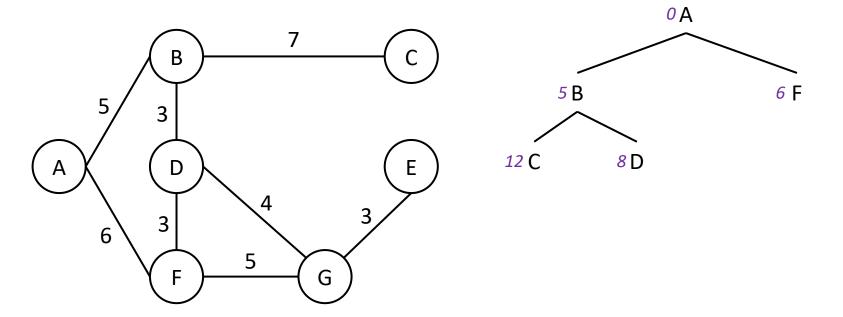


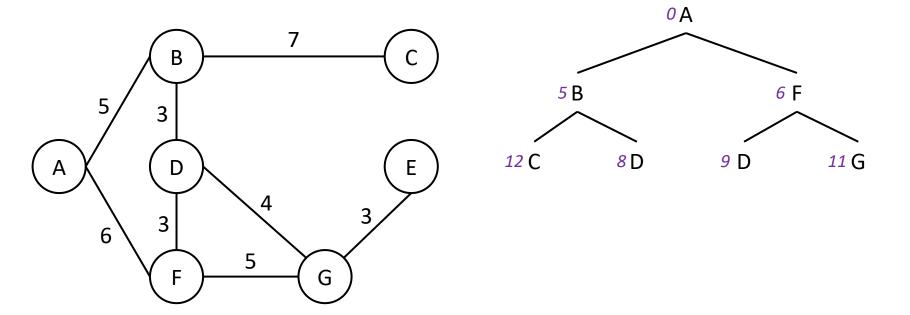


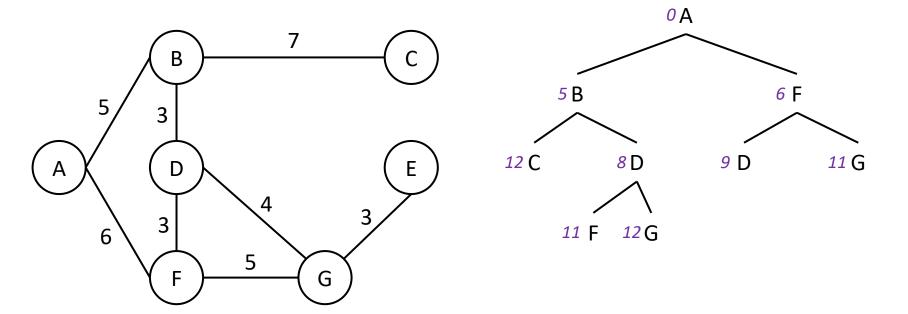
*0* A

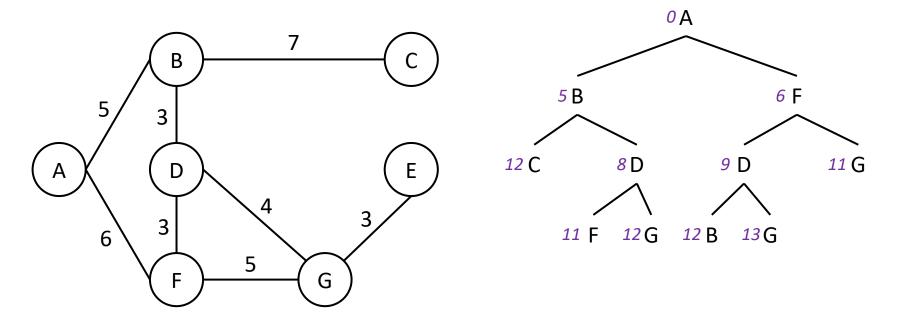


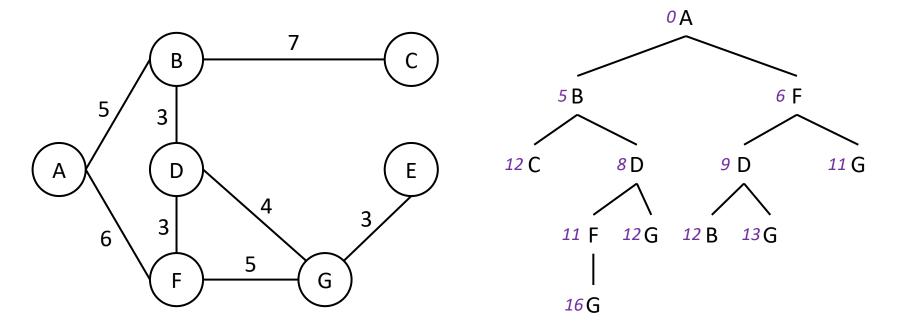


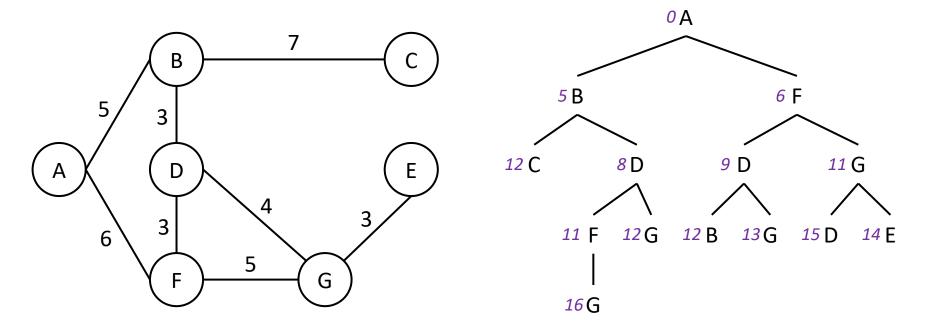


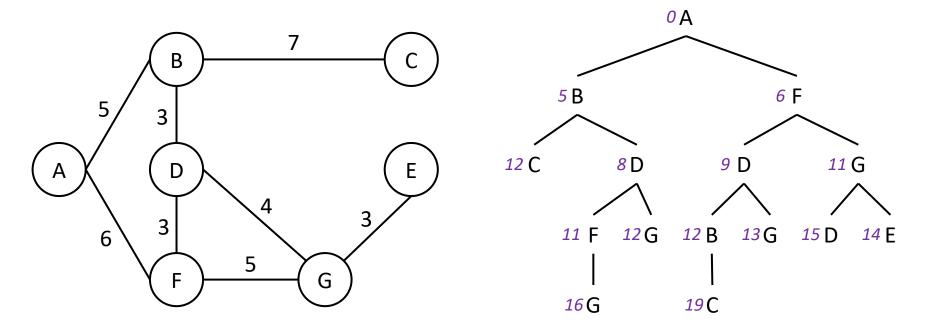


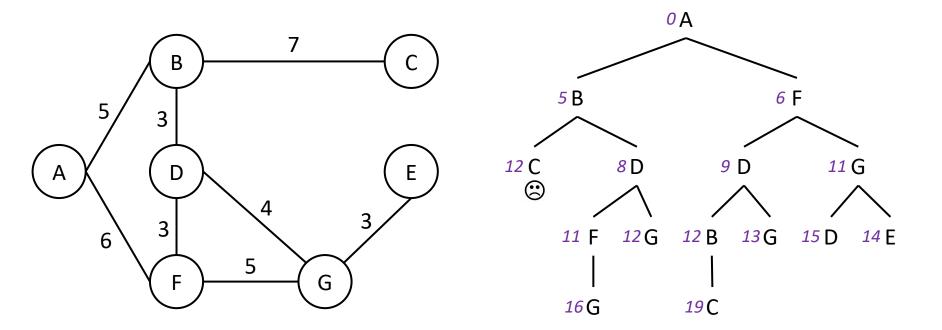


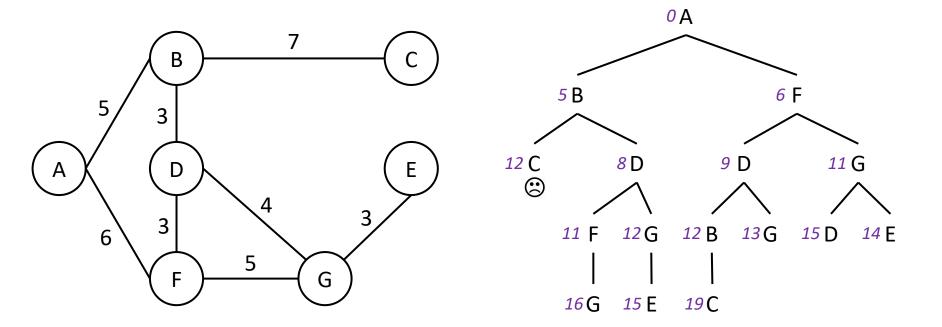


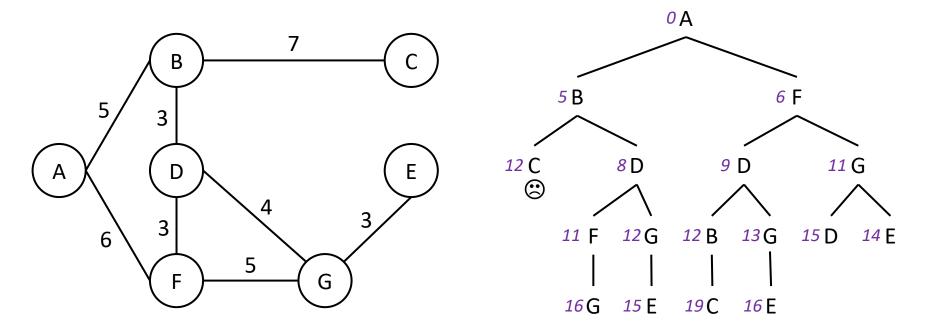


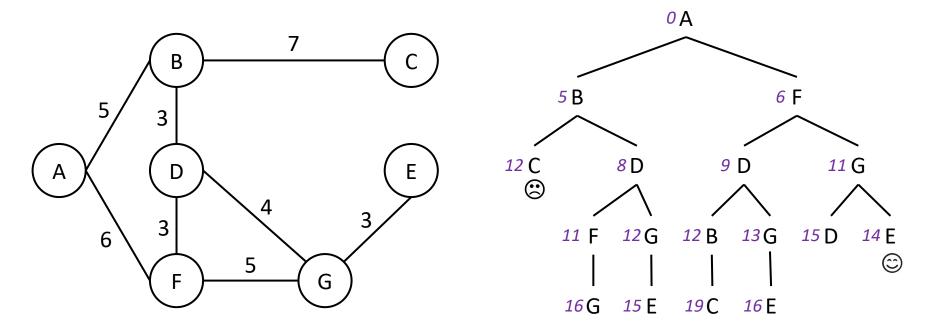


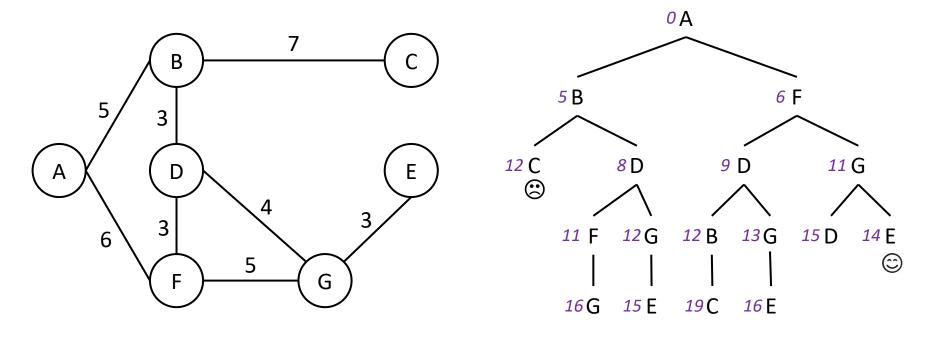












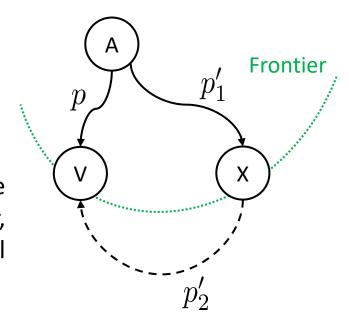
- Have we found the optimal path to the goal? In this problem instance, we can answer yes by inspecting the graph
- How about larger instances? Can we prove optimality?
- Actually, we can prove a stronger claim: every time UCS selects for the first time a node for expansion, the associated path leading to that node has minimum cost

#### **Optimality of UCS**

#### Hypotheses:

- 1. UCS selects from the frontier a node V that has been generated through a path p
- p is not the optimal path to V

Given 2 and the frontier separation property, we know that there must exist a node X on the frontier, generated through a path  $p'_1$  that is on the optimal path  $p'\neq p$  to V; let assume  $p'=p'_1+p'_2$ 



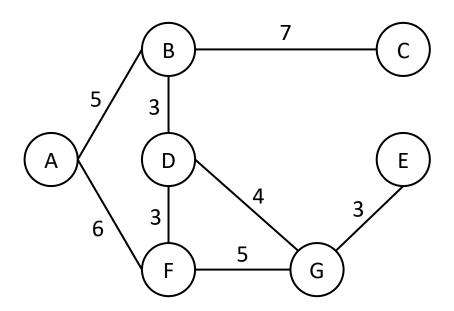
$$c(p')=c(p'_1)+c(p'_2)< c(p)$$
 since, from Hp, p' is optimal  $c(p'_1)< c(p'_1)+c(p'_2)< c(p)$  since costs are positive  $c(p'_1)< c(p)$  X would have been chosen before V, then 1 is false

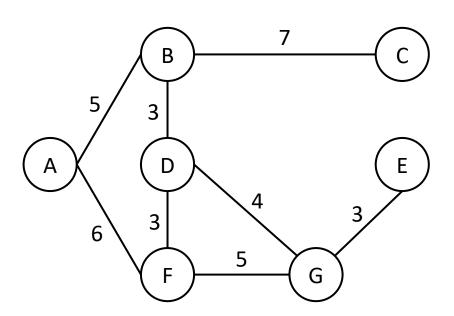
#### **Optimality of UCS**

If when we select for the first time we discover the optimal path, there is no reason to select the same node a second time: **extended list** 

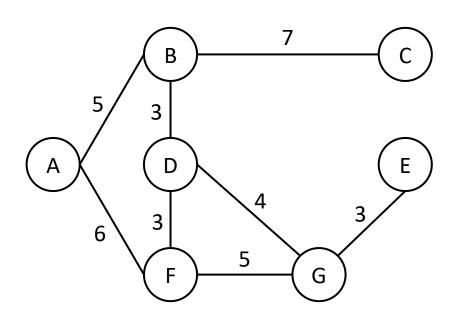
Every time we select a node for extension:

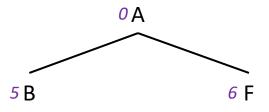
- If the node is already in the extended list we discard it
- Otherwise we extend it and we put it the extended list
- (Warning: we are not using an enqueued list, it would actually make the search not sound!)

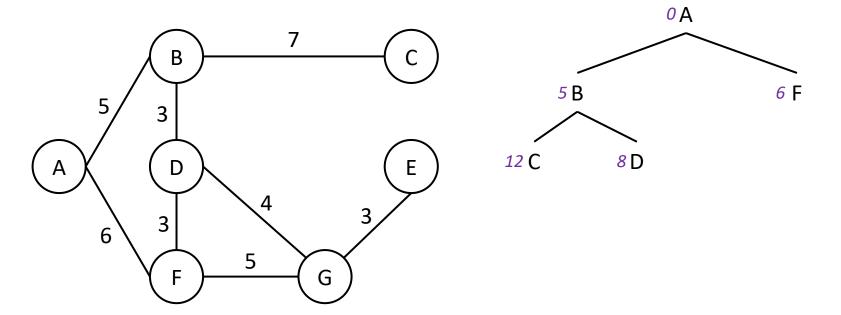


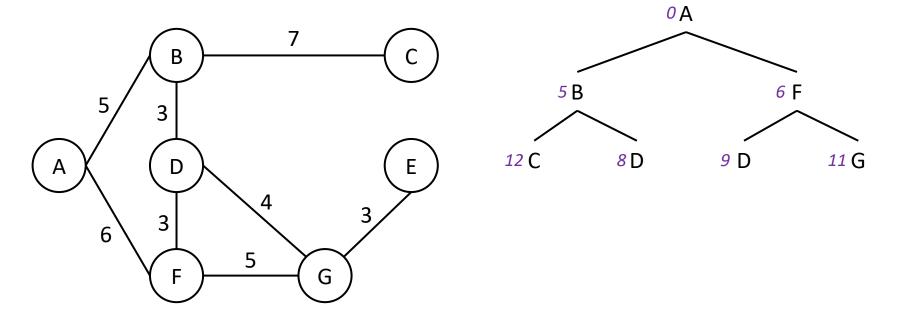


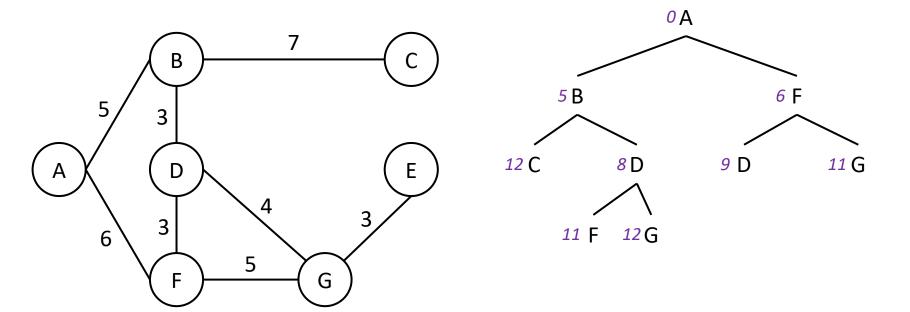
*0* A

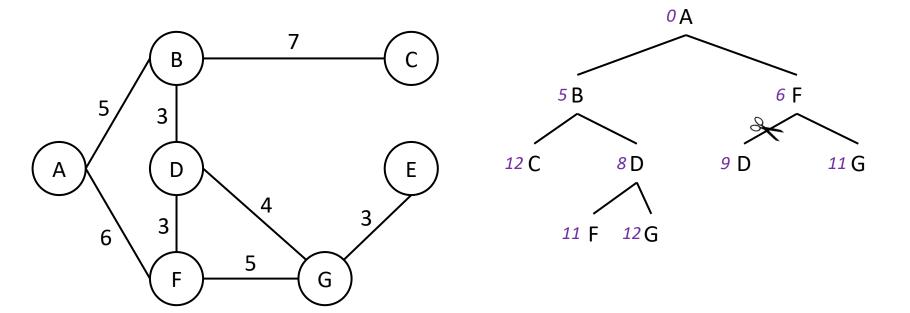


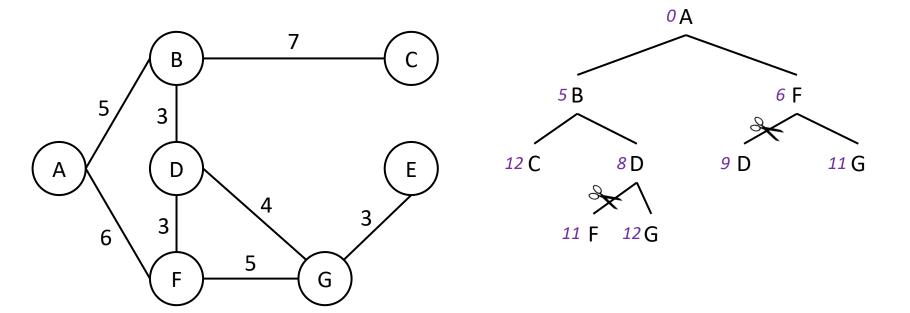


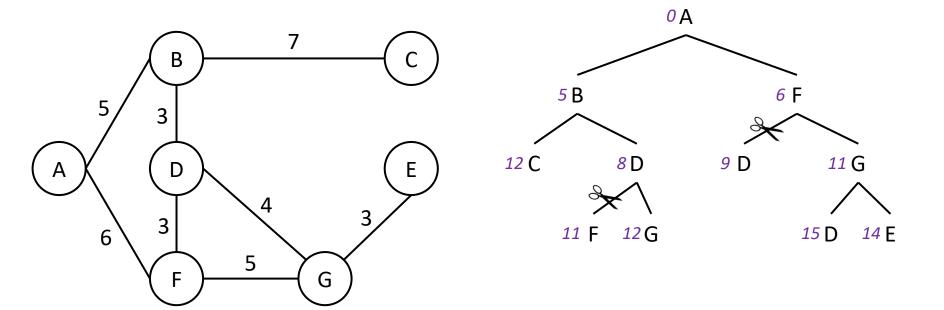


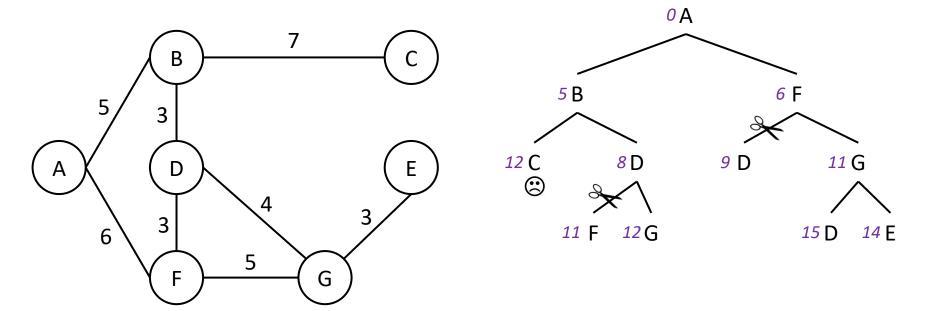


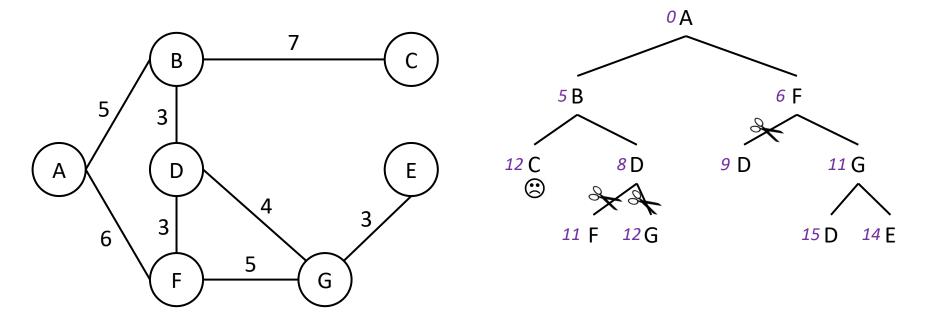


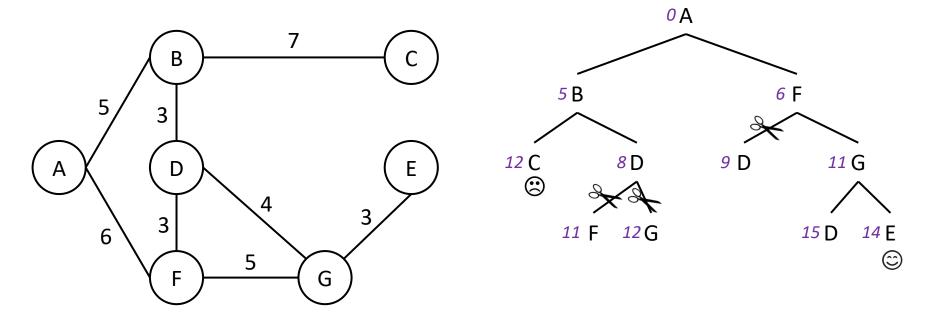






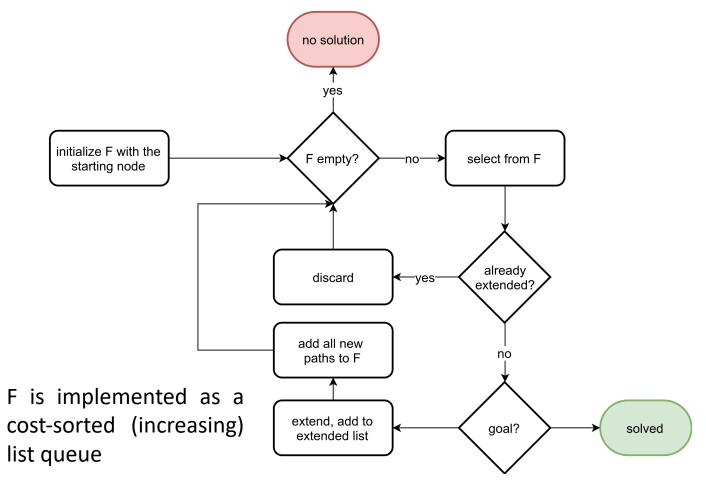






• Thanks to the extended list we can prune two branches

#### **Implementation**

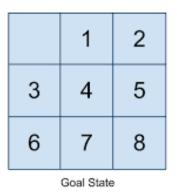


The goal check is done when the node is selected (not when is generated)

Question: is this search informed?

#### **Discrete Search Problems: 8-Puzzle**

7	2	4
5		6
8	3	1
Start State		





- States: location of each digits in the eight tiles + blank one
- Initial State
- Goal State
- Actions: Left, Right, Up, Down
- Transition: given a state and an action, the resulting board
- Goal Test: if the states are equal to the goal state
- Cost: each movement costs 1, the lowest number of tile move the lowest the cost

## **Discrete Search Problems: 8-Puzzle**

7	2	4
5		6
8	3	1

4	5
7	8
	-



Start State

Goal State

• Question: are all states equal?

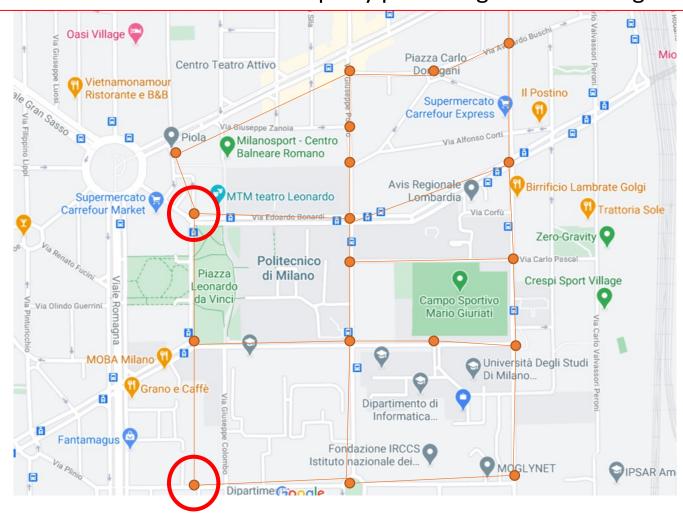
7	2	4
5		6
8	3	1

1	2	3
4		5
8	7	6

1	2	3
4	5	6
8	7	

# **Example: going home from the CS department with METRO**

The cost to reach the two nodes starting from the initial node is the same; but are the two nodes equally promising to reach the goal?

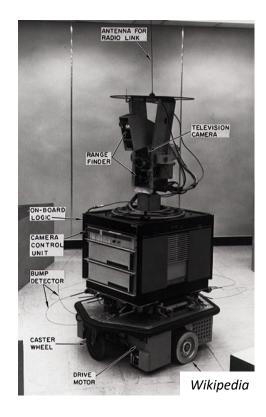


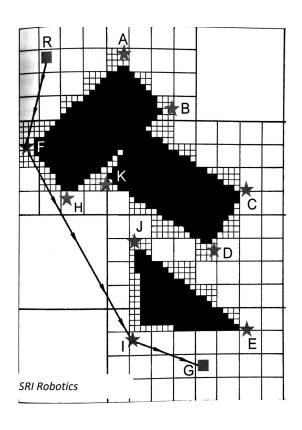
### Informed vs non-informed search

- Besides its own rules, any search algorithm decides where to search next by leveraging some knowledge
- Non-informed search uses only knowledge specified at problem-definition time (e.g., goal and start nodes, edge costs), just like we saw in the previous examples
- An informed search might go beyond such knowledge
- Idea: using an estimate of how far a given node is from the goal
- Such an estimate is often called a heuristic

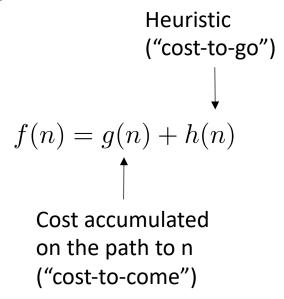
Estimate of the cost of the optimal path from node v to the goal: h(v)

- The informed version of UCS is called A\*
- Very popular search algorithm
- It was born in the early days of mobile robotics when, in 1968, Nilsson, Hart, and Raphael had to face a practical problem with Shakey (one of the ancestors of today's mobile robots)





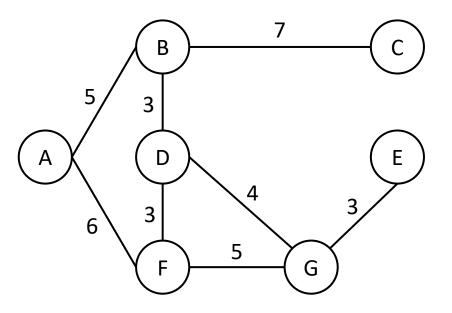
 The idea behind A\* is simple: perform a UCS, but instead of considering accumulated costs consider the following:



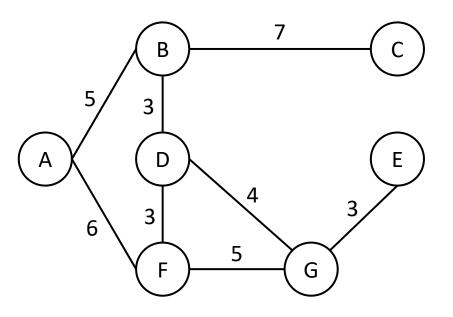
 To guarantee that the search is sound and complete we need to require that the heuristic is admissible: it is an optimistic estimate or, more formally:

 $h(n) \leq$  Cost of the minimum path from n to the goal

 If the heuristic is not admissible we might discard a path that could actually turn out to be better that the best candidate found so far

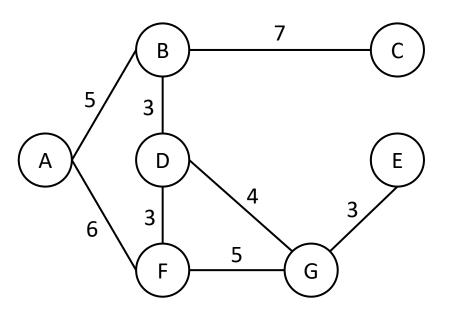


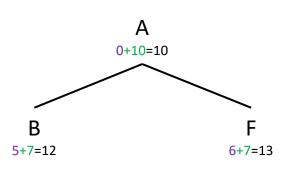
$\mod v$	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${f E}$	0
${ m F}$	7
G	2



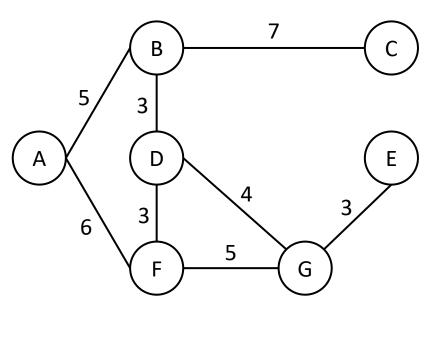
A 0+10=10

$\mod v$	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${f E}$	0
$\mathbf{F}$	7
G	2



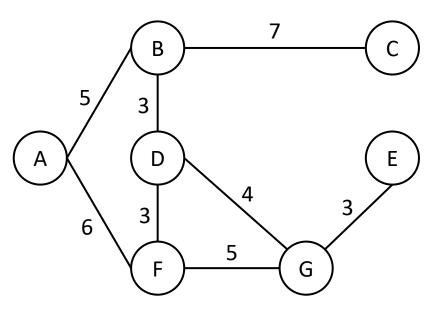


$\mod v$	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${ m E}$	0
${ m F}$	7
G	2

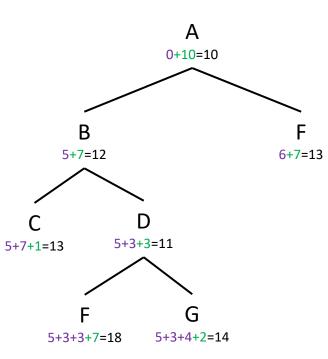


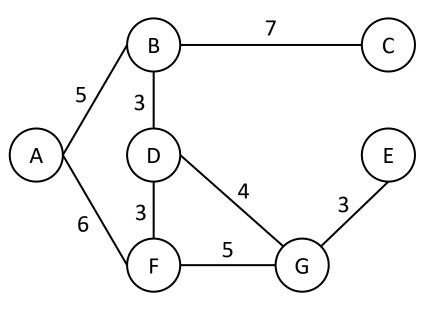
	A 0+10=10	
_		
В		F
5+7=1	12	6+7=13
Ć	D	
5+7+1=13	5+3+3=11	

$\mod v$	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${ m E}$	0
$\mathbf{F}$	7
G	2

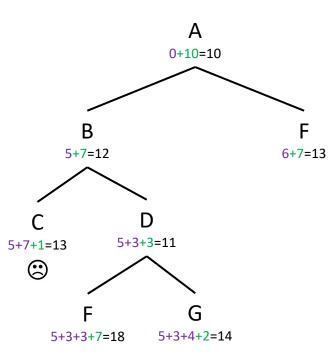


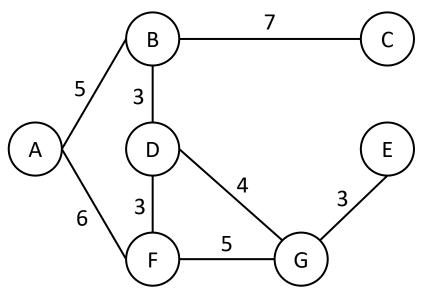
node  v	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${ m E}$	0
${ m F}$	7
G	2



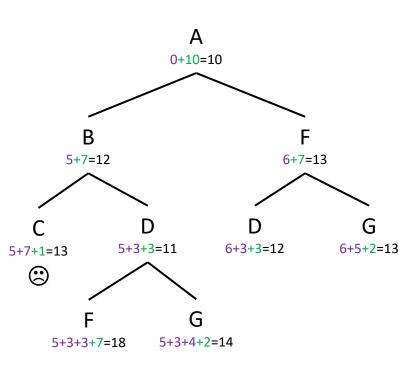


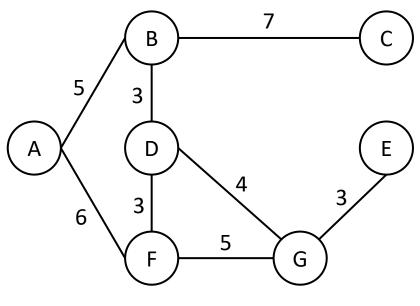
$\mod v$	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${ m E}$	0
${ m F}$	7
G	2



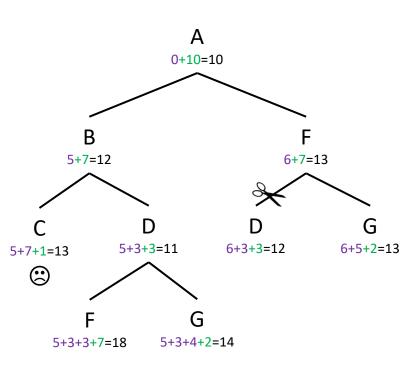


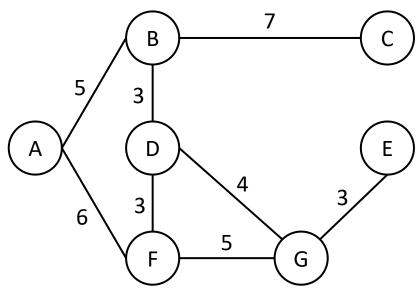
node  v	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${ m E}$	0
${ m F}$	7
G	2



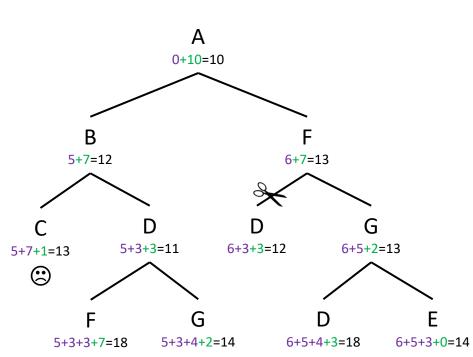


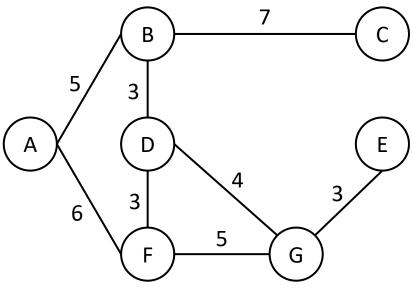
$\mod v$	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${ m E}$	0
$\mathbf{F}$	7
G	2



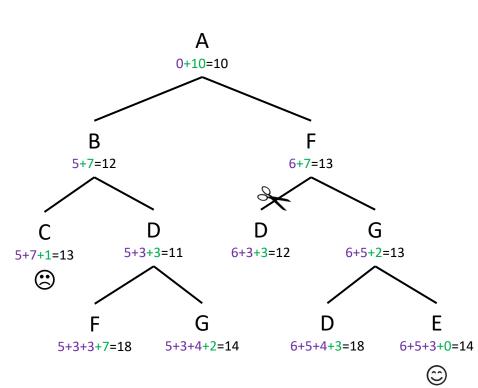


$\mathrm{node}\ v$	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${f E}$	0
$\mathbf{F}$	7
G	2

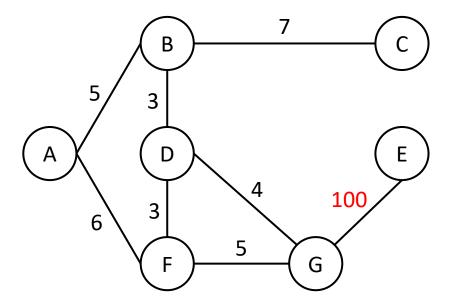




$\mod v$	h(v)
A	10
В	7
$\mathbf{C}$	1
D	3
${ m E}$	0
$\mathbf{F}$	7
G	2



- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:

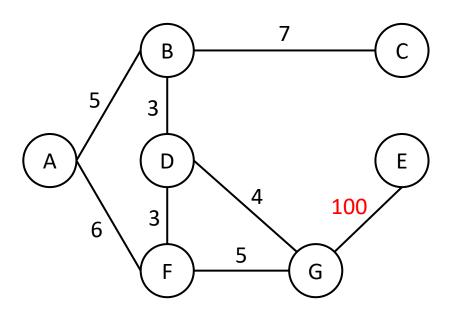


node $v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
${f E}$	0
$\mathbf{F}$	100
G	0

Problem: if we work with an extended list, admissibility is not enough!

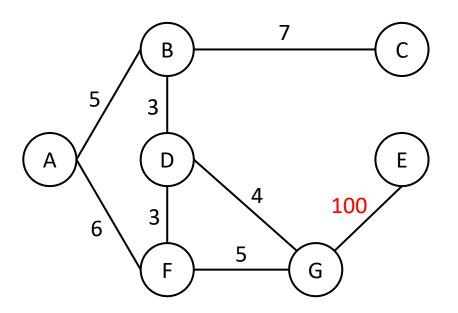
A 0+10=10

Let's consider this "pathological" instance:



$\mathrm{node}\ v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
${ m E}$	0
$\mathbf{F}$	100
G	0

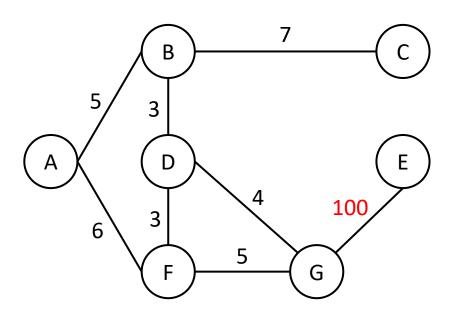
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:

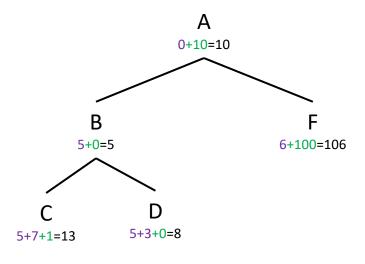


	A
0+1	.0=10
В	F
5+0=5	6+100=106

node $v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
${f E}$	0
$\mathbf{F}$	100
G	0

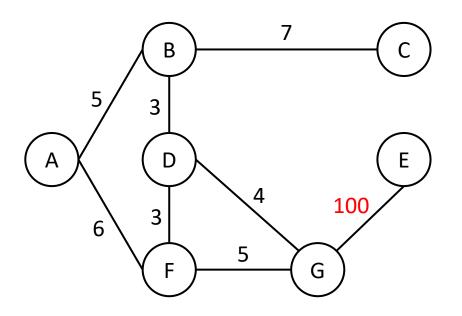
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



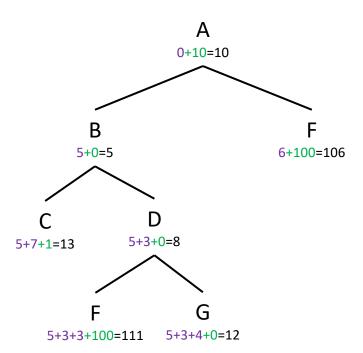


node  v	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
${f E}$	0
${ m F}$	100
G	0

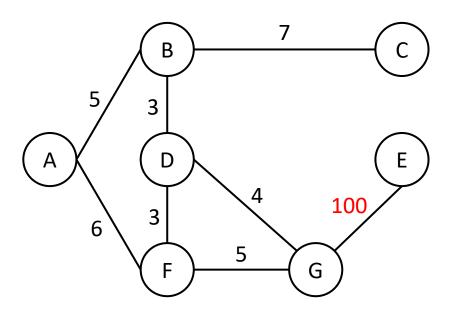
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



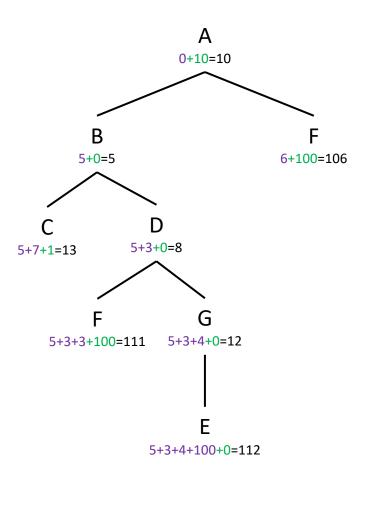
$\mathrm{node}\ v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
${ m E}$	0
$\mathbf{F}$	100
G	0



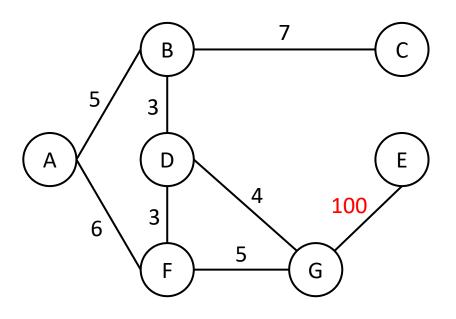
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



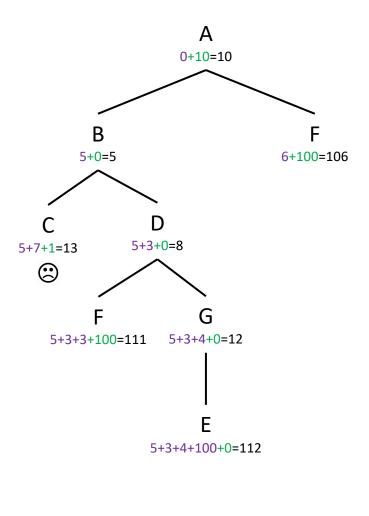
$\mathrm{node}\ v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
$\mathbf{E}$	0
$\mathbf{F}$	100
G	0



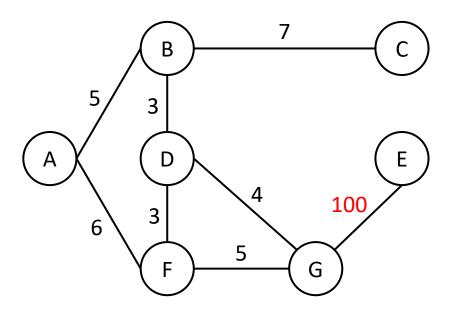
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



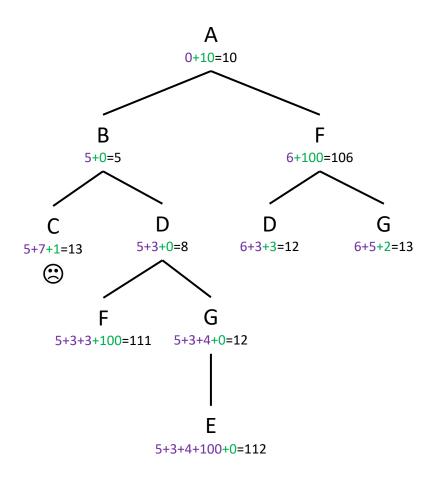
$\mathrm{node}\ v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
$\mathbf{E}$	0
$\mathbf{F}$	100
G	0



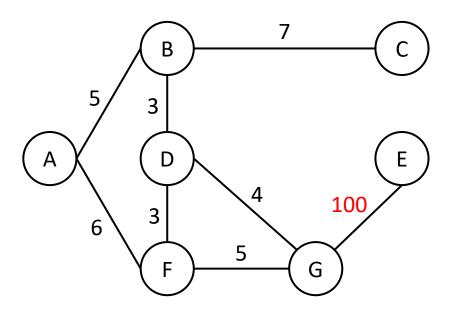
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



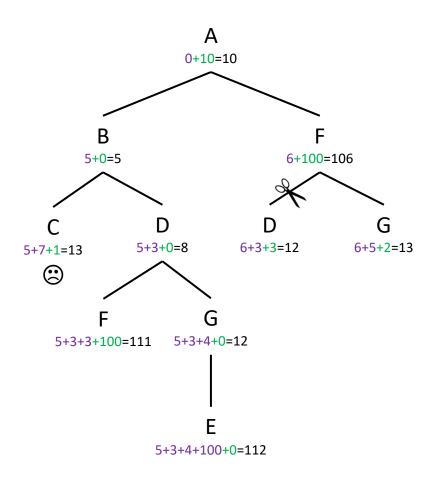
$\mathrm{node}\ v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
${f E}$	0
$\mathbf{F}$	100
G	0



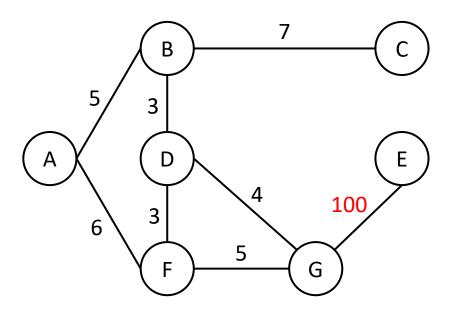
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



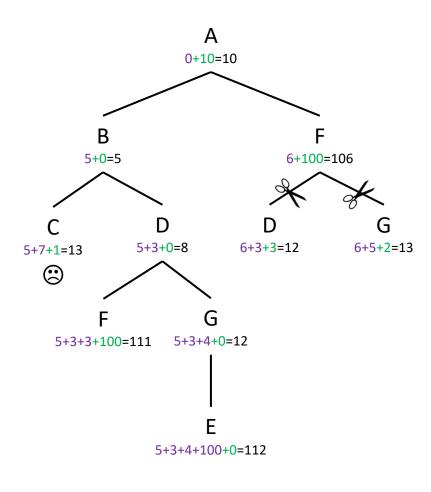
$\mathrm{node}\ v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
$\mathbf{E}$	0
$\mathbf{F}$	100
G	0



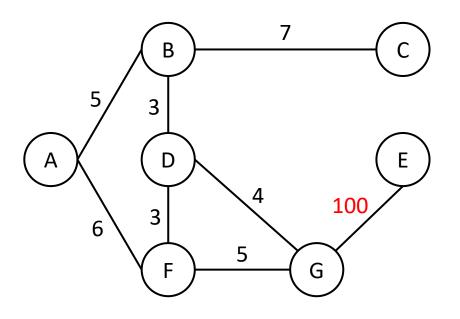
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



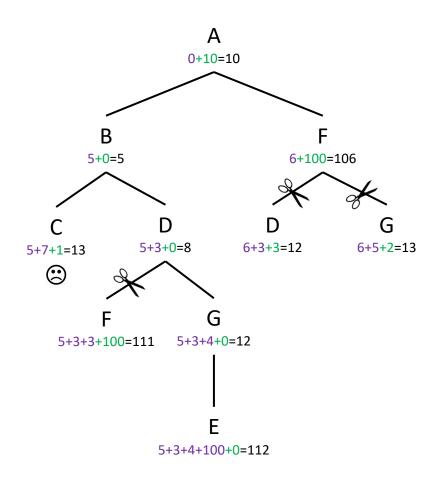
$\mathrm{node}\ v$	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
$\mathbf{E}$	0
$\mathbf{F}$	100
G	0



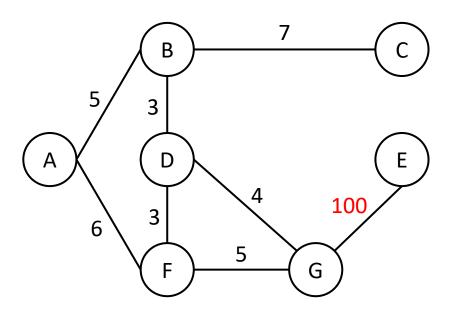
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



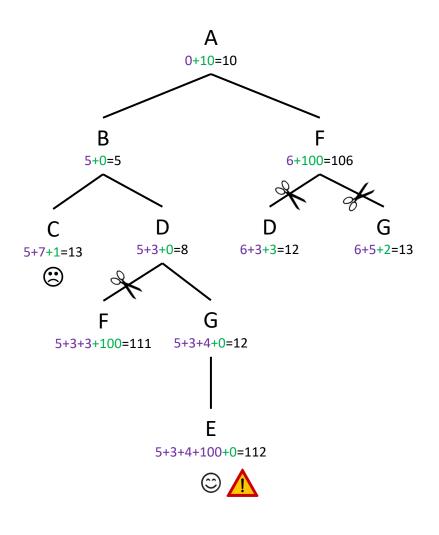
node $v$	h(v)	
A	10	
В	0	
$\mathbf{C}$	1	
D	0	
${f E}$	0	
${ m F}$	100	
G	0	



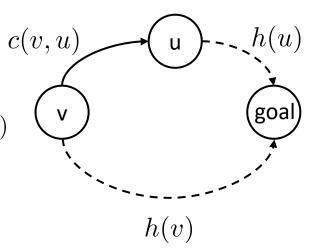
- Problem: if we work with an extended list, admissibility is not enough!
- Let's consider this "pathological" instance:



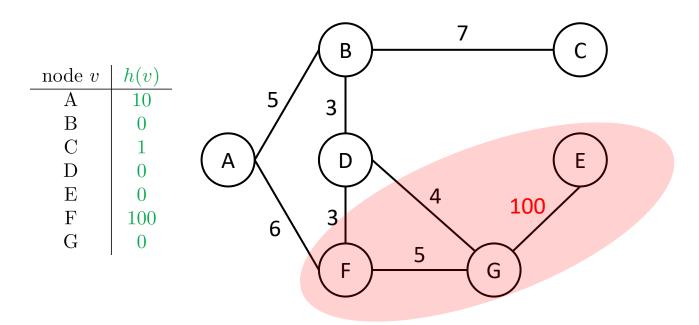
node  v	h(v)
A	10
В	0
$\mathbf{C}$	1
D	0
${ m E}$	0
$\mathbf{F}$	100
G	0



- We need to require a stronger property: consistency
- For any connected nodes u and v:  $h(v) \le c(v, u) + h(u)$



It's a sort of triangle inequality, let's reconsider our pathological instance:



# **Optimality of A\***

$$f(v) = g(v) + h(v)$$

$$f(u) = g(u) + h(u) = g(v) + c(v, u) + h(u) \ge g(v) + h(v)$$

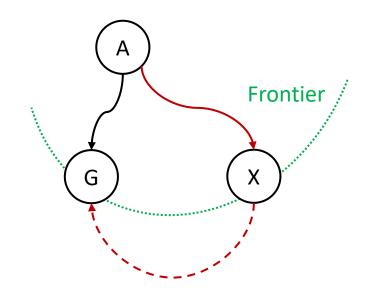
consistency

$$f(u) \ge f(v)$$
  $\longrightarrow$  f is non-decreasing along any search trajectory

#### Hypotheses:

- 1. A\* selects from the frontier a node G that has been generated through a path p
- 2. p is not the optimal path to G

Given 2 and the frontier separation property, we know that there must exist a node X on the frontier that is on a better path to G



f is non-decreasing:  $f(G) \ge f(X)$ 

A\* selected G: f(G) < f(X)

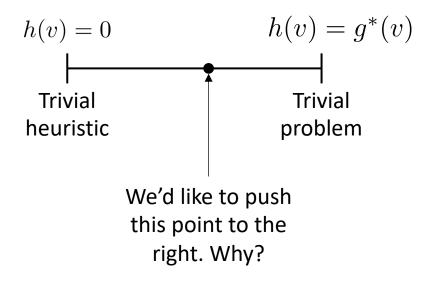
When A\* selects a node for expansion, it discovers the optimal path to that node

# **Building good heuristics**

- A "larger" heuristic is better usually than a smaller one. The trivial heuristic is h(v) = 0.
- The "larger heuristics are better" principle is not a methodology to define a good heuristic
- Such a task, seems to be rather complex: heuristics deeply leverage the inner structure of a problem and have to satisfy a number of constraints (admissibility, consistency, efficiency) whose guarantee is not straightforward
- When we adopted the straight-line distance in our route finding examples, we were sure it was a good heuristic
- Would it be possible to generalize what we did with the straight-line distance to define a method to *compute* heuristics for a problem?
- Good news: the answer is yes

# **Evaluating heuristics**

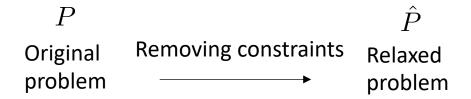
How to evaluate if an heuristic is good?

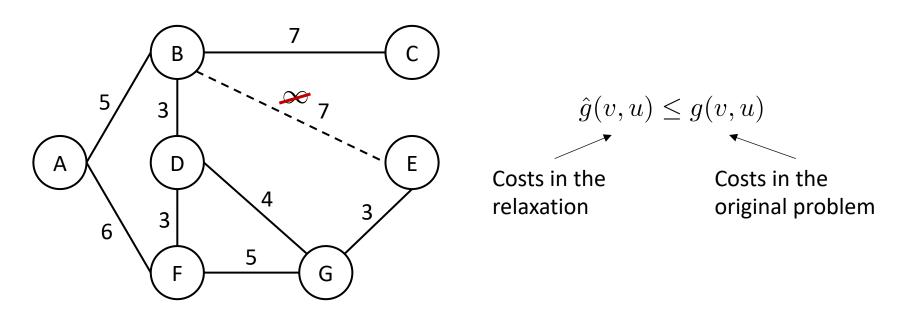


- A\* will expand all nodes v such that:  $f(v) < g^*(goal) \longrightarrow h(v) < g^*(goal) g(v)$
- If, for any node v  $h_1(v) \le h_2(v)$ then A\* with h<sub>2</sub> will not expand more nodes than A\* with h<sub>1</sub>, in general h<sub>2</sub> is better (provided that is consistent and can be computed by an efficient algorithm)
- If we have two consistent heuristics  $h_1$  and  $h_2$  we can define  $h_3(v) = \max\{h_2(v), h_1(v)\}$

# **Relaxed problems**

 Given a problem P, a relaxation of P is an easier version of P where some constraints have been dropped





• In our route finding problems removing the constraint that movements should be over roads (links) means that some costs pass from an infinite value to a finite one (the straight-line distance)

# **Relaxed problems**

• Idea:

Define a relaxation of P: 
$$\hat{P}$$
 Apply A\* to every node and get  $\hat{h}^*(v)$  Set  $h(v) = \hat{h}^*(v)$  in the original problem and run A\*

- We can easily define a problem relaxation, it's just matter of removing constraints/rewriting costs
- But what happens to soundness and completeness of A\*?

$$\hat{h}^*(v) \leq \hat{g}(v,u) + \hat{h}^*(u)$$
 Path costs are optimal

$$h(v) \leq \hat{g}(v,u) + h(u)$$
 From our idea

$$\hat{g}(v,u) \leq g(v,u)$$
 From the definition of relaxation

$$h(v) \le g(v, u) + h(u)$$
 h is consistent

How to evaluate if an heuristic is good?

7	2 4		
5		6	
8	3	1	
01-1-01-1			

	1 2	
3	4	5
6	7	8

Start State

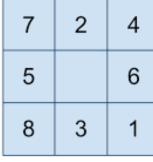
Goal State

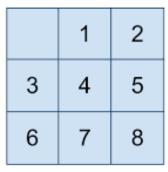
- $h_1(v)$  the number of misplaced tiles
- $h_2(v)$  sum of distances of tiles from their goal destination (Manhattan Distance)
- $h_1(v) = 8$ ,  $h_2(v) = 18$ ,  $h_*(v) = 26$
- Both heuristics are admissible; the second one is "higher", so is close to the actual cost of the optimal path. So it is a better heuristic.
- If we have two consistent heuristics  $h_1$  and  $h_2$  we can define  $h_3(v) = \max\{h_2(v), h_1(v)\}$

Search Cost (nodes generated)		Effective Branching Factor			
IDS	$A^*(h_1)$	$A^*(h_2)$	IDS	$A^*(h_1)$	$A^*(h_2)$
10	6	6	2.45	1.79	1.79
112	13	12	2.87	1.48	1.45
680	20	18	2.73	1.34	1.30
6384	39	25	2.80	1.33	1.24
47127	93	39	2.79	1.38	1.22
3644035	227	73	2.78	1.42	1.24
_	539	113	_	1.44	1.23
_	1301	211	_	1.45	1.25
_	3056	363	_	1.46	1.26
_	7276	676	_	1.47	1.27
_	18094	1219	_	1.48	1.28
_	39135	1641	_	1.48	1.26
	1DS 10 112 680 6384 47127	IDS $A^*(h_1)$ 10     6       112     13       680     20       6384     39       47127     93       3644035     227       -     539       -     1301       -     3056       -     7276       -     18094	IDS $A^*(h_1)$ $A^*(h_2)$ 10661121312680201863843925471279339364403522773-539113-1301211-3056363-7276676-180941219	IDS $A^*(h_1)$ $A^*(h_2)$ IDS           10         6         6         2.45           112         13         12         2.87           680         20         18         2.73           6384         39         25         2.80           47127         93         39         2.79           3644035         227         73         2.78           -         539         113         -           -         1301         211         -           -         3056         363         -           -         7276         676         -           -         18094         1219         -	IDS         A*( $h_1$ )         A*( $h_2$ )         IDS         A*( $h_1$ )           10         6         6         2.45         1.79           112         13         12         2.87         1.48           680         20         18         2.73         1.34           6384         39         25         2.80         1.33           47127         93         39         2.79         1.38           3644035         227         73         2.78         1.42           -         539         113         -         1.44           -         1301         211         -         1.45           -         3056         363         -         1.46           -         7276         676         -         1.47           -         18094         1219         -         1.48

- $h_1(v)$  the number of misplaced tiles
- $h_2(v)$  sum of distances of tiles from their goal destination (Manhattan Distance)
- How to evaluate an heuristic? Compute several instances of the problem and compute the effective branching factor
   (the number of branches expanded by the search strategy during search)
   In the table we tested 1000+ instances of the problem.
- $h_2(v)$  dominates  $h_1(v)$  and is 50k better wrt IDS with d=12

How to evaluate if an heuristic is good?





Start State

Goal State

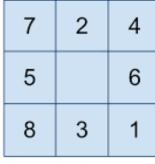
Remember that the relaxed problem adds edges to the state space

- any optimal solution in the original problem is, by definition, also a solution in the relaxed problem;
- however the relaxed problem may have better solutions if the added edges provide short cuts

Hence, the cost of an optimal solution to a relaxed problem is an admissible heuristic for the original problem.

Furthermore, because the derived heuristic is an exact cost for the relaxed problem, it must obey the triangle inequality and is therefore **consistent** 

How to evaluate if an heuristic is good?



 1
 2

 3
 4
 5

 6
 7
 8

Start State

Goal State

How to generate heuristics? We can remove rules / costraints

#### 8:puzzle rules:

A tile can move from square A to square B if:

A is horizontally or vertically adjacent to B **and** B is blank.

we can generate three relaxed problems by removing one or both of the conditions:

- (a) A tile can move from square A to square B if A is adjacent to B.
- (b) A tile can move from square A to square B if B is blank.
- (c) A tile can move from square A to square B.

### References

- Russel S., Norvig P., Artificial Intelligence, a Modern Approach, III ED
- LaValle, SM., Planning Algorithms <a href="http://lavalle.pl/planning/">http://lavalle.pl/planning/</a>
- https://qiao.github.io/PathFinding.js/visual/
- https://www.redblobgames.com/pathfinding/astar/introduction.html

## Sistemi Intelligenti Avanzati Corso di Laurea in Informatica, A.A. 2023-2024 Università degli Studi di Milan



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